

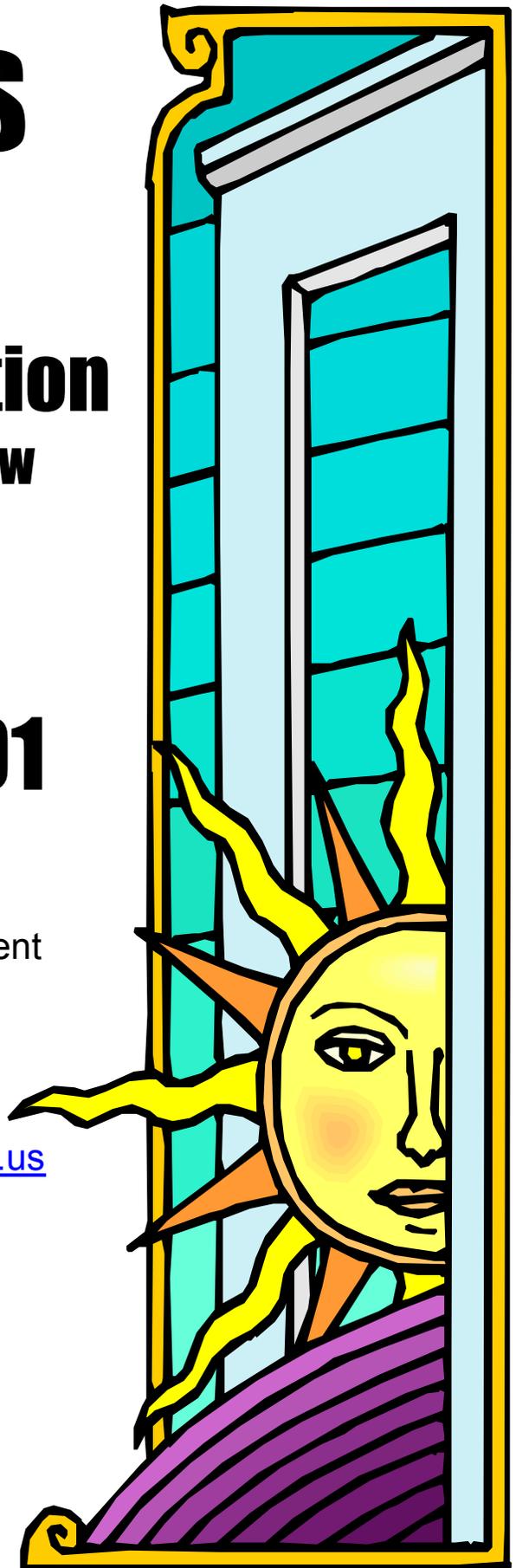
# **CANDIDATE'S HANDBOOK**

## **Special District Election**

### **Uniform District Election Law**

# **NOVEMBER 6, 2001**

Prepared by:  
Santa Cruz County Elections Department  
701 Ocean St., Room 210  
Santa Cruz, CA 95060  
831-454-2060 / FAX: 831-454-2445  
WEB Page: [www.votescount.com](http://www.votescount.com)  
E-Mail: [gail.pellerin@co.santa-cruz.ca.us](mailto:gail.pellerin@co.santa-cruz.ca.us)  
May 2001





# County of Santa Cruz

---

---

## ELECTIONS DEPARTMENT

701 OCEAN STREET, ROOM 210, SANTA CRUZ, CA 95060-4076  
(831) 454-2060 FAX: (831) 454-2445 TDD: (831) 454-2123  
E-MAIL: [gail.pellerin@co.santa-cruz.ca.us](mailto:gail.pellerin@co.santa-cruz.ca.us) Web Site: [www.votescount.com](http://www.votescount.com)

**RICHARD W. BEDAL, COUNTY CLERK**  
**GAIL L. PELLERIN, ELECTIONS MANAGER**

May 2001

Dear Candidate,

Welcome to the November 6, 2001 Special District election. Regardless of who wins, I hope that this is a positive experience for you.

The Elections Department is dedicated to helping all qualified candidates get their names printed on the ballot and to ensuring that the election is fair and accurate.

For the uninitiated, the process can be confusing, with resulting errors and misunderstandings. Although this handbook is a guide for candidates, it is for general information only and does not have the force and effect of law, regulation, or rule. In case of conflict, the law, regulation, or rule will apply. Candidates and others using this handbook must bear full responsibility to make their own determinations as to all legal standards and duties.

The best advice I can give to all candidates is FILE EARLY. The filing deadlines are rigid and if one waits until the last moment to file a document containing errors or omissions, one's right to appear on the ballot may be lost. Most errors can be corrected given adequate time.

We hope you find this Candidate's Handbook useful. Should you have any suggestions for improving the manual or have identified corrections to be made, please call Elections Manager Gail Pellerin at 831-454-2419 or e-mail her at [gail.pellerin@co.santa-cruz.ca.us](mailto:gail.pellerin@co.santa-cruz.ca.us)

Good luck!

Sincerely,

Richard W. Bedal  
County Clerk

# TABLE OF CONTENTS

|   |           |
|---|-----------|
| <b>COUNTY ELECTIONS DEPARTMENT</b> .....                              | <b>1</b>  |
| <b>STATE AND FEDERAL OFFICES</b> .....                                | <b>2</b>  |
| <b>CANDIDATE CHECKLIST</b> .....                                      | <b>3</b>  |
| <b>CANDIDATE FILING DOCUMENTS</b> .....                               | <b>4</b>  |
| DECLARATION OF CANDIDACY .....  | 4         |
| CANDIDATE'S STATEMENT OF QUALIFICATIONS .....                         | 4         |
| CANDIDATE INTENTION, BANK ACCOUNT, & CAMPAIGN DISCLOSURE FORMS .....  | 5         |
| CODE OF FAIR CAMPAIGN PRACTICES.....                                  | 5         |
| STATEMENT OF ECONOMIC INTERESTS (FORM 700).....                       | 5         |
| <b>ELECTION CALENDAR</b> .....  | <b>7</b>  |
| SPECIAL DISTRICTS DELIVER NOTICE OF ELECTION TO COUNTY CLERK .....    | 7         |
| CANDIDATE NOMINATION PERIOD .....                                     | 8         |
| EXTENSION OF NOMINATION PERIOD .....                                  | 9         |
| INSUFFICIENT NUMBER OF NOMINEES.....                                  | 9         |
| RANDOMIZED ALPHABET DRAWING.....                                      | 10        |
| COUNTIES MAIL SAMPLE BALLOTS .....                                    | 10        |
| FIRST PRE-ELECTION STATEMENT .....                                    | 10        |
| LAST DAY TO REGISTER TO VOTE TO ENSURE RECEIPT OF SAMPLE BALLOT ..... | 11        |
| VOTE-BY-MAIL BALLOT APPLICATION PERIOD .....                          | 11        |
| 15-DAY VOTER REGISTRATION .....                                       | 12        |
| SECOND PRE-ELECTION STATEMENT .....                                   | 12        |
| ABSENTEE BALLOTS – LATE CONDITIONS .....                              | 14        |
| ELECTION DAY .....  | 14        |
| CERTIFY RESULTS DECLARATION OF ELECTED CANDIDATES.....                | 14        |
| BOARD OF SUPERVISORS TO APPOINT CANDIDATES IN-LIEU OF ELECTION .....  | 14        |
| ASSUMING OFFICE.....  | 14        |
| STATEMENT FILED WITH SECRETARY OF STATE.....                          | 14        |
| RECOUNT MAY BE REQUESTED .....  | 15        |
| CONTESTING ELECTION .....   | 15        |
| STATEMENT OF ECONOMIC INTERESTS – ALL NEWLY ELECTED OFFICIALS .....   | 16        |
| <b>OFFICES ON THE NOVEMBER 6, 2001 BALLOT</b> .....                   | <b>18</b> |
| SPECIAL DISTRICTS .....   | 18        |
| <b>RESIDENCE AND DOMICILE</b> .....                                   | <b>19</b> |
| <b>NAME &amp; BALLOT DESIGNATION</b> .....                            | <b>22</b> |
| NAME ON BALLOT.....   | 22        |
| BALLOT DESIGNATION - LEGISLATIVE REQUIREMENTS .....                   | 22        |
| UNACCEPTABLE DESIGNATIONS.....  | 23        |
| <b>SECRETARY OF STATE BALLOT DESIGNATION REGULATIONS</b> .....        | <b>25</b> |
| <b>WRITE-IN CANDIDACY</b> .....                                       | <b>36</b> |
| WRITE-IN PROCEDURE.....   | 36        |

|  |           |
|--|-----------|
| <b>CANDIDATE STATEMENT OF QUALIFICATIONS .....</b>         | <b>38</b> |
| PERIOD FOR FILING: .....                                   | 38        |
| WHERE:.....  | 38        |
| HOW TO WRITE YOUR CANDIDATE'S STATEMENT .....              | 38        |
| THE PRINTED CANDIDATE'S STATEMENT .....                    | 41        |
| COST OF PRINTING CANDIDATE'S STATEMENTS.....               | 42        |
| <br>   |           |
| <b>HOW TO COUNT WORDS .....</b>                            | <b>44</b> |
| <br>   |           |
| <b>REGISTRATION AND ELECTION DATA.....</b>                 | <b>46</b> |
| PERMISSIBLE USAGE .....                                    | 46        |
| PROHIBITED USAGE.....                                      | 47        |
| <br>   |           |
| <b>ELECTION DATA FOR SALE FROM SANTA CRUZ COUNTY .....</b> | <b>48</b> |
| <br>   |           |
| <b>VOTER REGISTRATION AND VOTE-BY-MAIL DRIVES .....</b>    | <b>51</b> |
| <br>   |           |
| <b>CAMPAIGN PRACTICES .....</b>                            | <b>53</b> |
| MASS MAILING .....   | 53        |
| SLATE MAILERS .....  | 53        |
| NOMINATIONS.....   | 56        |
| CODE OF FAIR CAMPAIGN PRACTICES.....                       | 56        |
| MISREPRESENTATION BY CANDIDATES.....                       | 57        |
| POLITICAL ADVERTISING .....                                | 57        |
| SIMULATED BALLOTS .....                                    | 58        |
| POLITICAL SIGNS.....                                       | 59        |
| POLLING PLACE INFORMATION.....                             | 61        |
| <br>   |           |
| <b>CAMPAIGN FILING REQUIREMENTS .....</b>                  | <b>62</b> |
| <br>   |           |
| <b>STATEMENT OF ECONOMIC INTERESTS (FORM 700).....</b>     | <b>63</b> |
| WHO MUST FILE .....  | 63        |
| WHAT MUST BE DISCLOSED.....                                | 63        |
| WHEN AND WHERE TO FILE .....                               | 63        |
| <br>   |           |
| <b>ELECTIONEERING ON ELECTION DAY .....</b>                | <b>64</b> |
| <br>   |           |
| <b>ELECTION NIGHT RESULTS .....</b>                        | <b>65</b> |
| <br>   |           |
| <b>QUESTIONS FREQUENTLY ASKED BY CANDIDATES .....</b>      | <b>66</b> |

# COUNTY ELECTIONS DEPARTMENT

## COUNTY CLERK RICHARD W. BEDAL

701 Ocean St., Room 210

Santa Cruz, CA 95060

831-454-2060

FAX: 831-454-2445

WEB Page: [www.votescount.com](http://www.votescount.com)

**CONTACT PERSONS** – Please feel free to contact us at the Elections Department should you have any questions, comments, or concerns. Direct telephone numbers, with voice mail availability, are listed under each person's name.

|   |   |
|---|---|
| Elections Manager   | Gail Pellerin<br>454-2419<br><a href="mailto:gail.pellerin@co.santa-cruz.ca.us">gail.pellerin@co.santa-cruz.ca.us</a> |
| Candidate Filings<br>Campaign Reporting<br>Conflict of Interests Reports<br>Central Committee Members   | Diane Moore<br>454-2409   |
| Absentee Statistical Reports<br>Permanent Absentees<br>Absentee Application Distribution<br>Special Absentee Voters<br>Purge                              | Karla Haack<br>454-2416   |
| Candidate tapes/disks/reports<br>Precinct Boundaries & Consolidations/Maps<br>Street Index Maintenance<br>Computer Programming<br>Initiatives & Petitions | Margaret Morrison<br>454-2415   |
| Polling Places<br>Poll workers<br>Precinct Supply Distribution  | Crystal Bertheau<br>454-2408  |
| Department Information Services Manager<br>Website Manager  | Martin Peaden<br>454-3456<br><a href="mailto:ttc060@co.santa-cruz.ca.us">ttc060@co.santa-cruz.ca.us</a>               |
| Voter Registration & Outreach<br>Motor Voter  | Vacant<br>454-2405  |
| Accounting Technician   | Anna Sasser<br>454-2418   |

# STATE AND FEDERAL OFFICES

## IMPORTANT TELEPHONE NUMBERS

### **Fair Political Practices Commission**

P.O. Box 807 (95812-0807)  
428 J Street, Suite 620  
Sacramento, CA 95814  
866-275-3772 (toll free) / FAX: 916-322-3711  
Website: [www.fppc.ca.gov](http://www.fppc.ca.gov)

- 7 Campaign Disclosure
- 7 State Contribution Limits
- 7 Conflict of Interest Disclosure
- 7 Lobbying Disclosure
- 7 Conflict of Interest Disqualification
- 7 Proper Use of Campaign Funds

Reporting Enforcement Violations  
800-561-1861

### **Secretary of State**

#### **Political Reform Division**

1500 11<sup>th</sup> Street, Room 495  
Sacramento, CA 95814  
916-653-6224 / FAX: 916-653-5045  
Website: [www.ss.ca.gov](http://www.ss.ca.gov)

- 7 Committee Identification Numbers
- 7 Termination of Committees

#### **Elections Division**

916-657-2166  
7 Questions Relating to the Elections Code

### **Registrar-Recorder of**

#### **Los Angeles County**

Campaign Reporting Unit  
12400 Imperial Highway, Room 2003  
Norwalk, CA 90650  
562-462-2339 / FAX: 562-651-2548  
Website: <http://regrec.co.la.ca.us/>

### **City and County of San Francisco**

Campaign Statements  
1 Dr. Carlton B. Goodlett Pl, #48  
San Francisco, CA 94102  
415-554-4375 / FAX: 415-554-7344  
Website: [www.ci.sf.ca.us/election](http://www.ci.sf.ca.us/election)

### **Federal Election Commission**

800-424-9530  
Website: [www.fec.gov](http://www.fec.gov)

- 7 Federal Campaign Disclosure
- 7 Contributions from National Banks, National Corporations, and Foreign Nationals

### **State Franchise Tax Board**

800-338-0505  
Website: [www.ftb.ca.gov](http://www.ftb.ca.gov)

- 7 Committee Tax Status
- 7 Tax Deductible Contributions
- 7 Charitable Non-Profit Groups
- 7 Any Other Tax-Related Questions

### **Internal Revenue Service**

800-829-1040  
Website: [www.irs.gov](http://www.irs.gov)

- 7 Federal Taxpayer I.D. Numbers
- 7 Any other Tax-related questions

### **Attorney General**

800-952-5225  
Website: [www.caag.state.ca.us](http://www.caag.state.ca.us)

- 7 Brown Act requirements

### **Department of Elections**

# CANDIDATE CHECKLIST

Listed below is a description of the various mandatory and optional forms to be filed for candidacy in the November 7, 2000 Election. It is the obligation of the candidate to ensure that filing requirements and deadlines have been met. All candidates are urged to file the required documents as early as possible to avoid a last minute rush, confusion or misunderstanding. Additionally, it is recommended that the candidate file all documents personally.

| DOCUMENT   | APPLIES TO  | FILING PERIOD   | FILED |
|--|---|---|-------|
| Declaration of Candidacy                         | All candidates  | July 16 – Aug. 10<br>(E-113 to E-88)                              |       |
| Candidate's Statement of Qualifications          | Optional for all candidates plus Nominees for Supervisor and District Attorney. | Must be filed & paid for with Dec. of Candidacy by 5 p.m. Aug. 10 |       |
| Campaign Disclosure Statements (Form 470 or 490) | All candidates  | See filing schedule on Page 61                                    |       |
| Code of Fair Campaign Practices                  | Optional for All Candidates   | File with Dec. of Candidacy                                       |       |
| Statement of Economic Interests (Form 700)       | Candidates elected  | File within 30 days of assuming office.                           |       |

# CANDIDATE FILING DOCUMENTS

|                  |  |
|------------------|--|
| <b>Document</b>  | <p><b>Declaration of Candidacy</b></p> <p>The Declaration of Candidacy is the official nomination document, wherein the candidate indicates how his/her name and ballot designation is to appear on the ballot. Additionally, the candidate declares that he/she meets the statutory and/or constitutional qualifications for the office sought, and that if nominated, the candidate will accept the nomination and not withdraw.</p> <p>The Oath of Office on the Declaration of Candidacy form must be taken and signed by the candidate before a person authorized to administer oaths. The election officials and notaries public are so authorized.</p> <p>The candidate is required to execute the Declaration of Candidacy in the office of the elections official, unless a written statement is signed and dated by the candidate designating a person to receive the Declaration of Candidacy form from the elections official and deliver it to the candidate. The written statement from the candidate shall include language indicating that the candidate is aware that the Declaration of Candidacy must be properly executed and delivered to the elections official in the county of the candidate's residence by the 88<sup>th</sup> day prior to the general election.<br/>(Elections Code 10510, 10511)</p> |
| <b>Who files</b> | All Candidates   |
| <b>Deadline</b>  | <ul style="list-style-type: none"> <li>◆ July 16 to August 10</li> <li>◆ Extended to August 15 if the incumbent does not file.</li> </ul>  |

|                  |   |
|------------------|---|
| <b>Document</b>  | <p><b>Candidate's Statement of Qualifications</b></p> <p>A statement by the candidate describing his or her education and qualifications to be printed in the Voter Pamphlet section of the county Sample Ballot. The statement must be paid for at the time of filing unless the district has agreed to pay for the statement. The statement may be withdrawn by 5 p.m. on the day following the filing deadline. See detailed instructions beginning on page 37.<br/>(Elections Code 13307)</p> |
| <b>Who files</b> | Optional for special district candidates  |
| <b>Deadline</b>  | <ul style="list-style-type: none"> <li>◆ August 10</li> <li>◆ Extended to August 15 if the incumbent does not file</li> </ul>   |

|                  |   |
|------------------|---|
| <b>Document</b>  | <p><b>Candidate Intention, Bank Account, &amp; Campaign Disclosure Forms</b></p> <p>Periodic statements disclosing contributions made to and expenditures made by the candidate or committee. Must be filed at least once and possibly several times during an election cycle if more than \$1,000 is being raised or spent including personal funds.</p> <p style="text-align: right;">(Government Code §84200, 84218)</p> |
| <b>Who files</b> | All candidates must file either a short form or a long form depending on how much money will be raised or spent.  |
| <b>Deadline</b>  | ◆ See filing schedule on page 61.   |

|                  |   |
|------------------|---|
| <b>Document</b>  | <p><b>Code of Fair Campaign Practices</b></p> <p>May be voluntarily subscribed to by candidates for public office. See page 55.</p> <p style="text-align: right;">(Elections Code §20400-20444)</p> |
| <b>Who files</b> | Optional for all candidates   |
| <b>Deadline</b>  | <ul style="list-style-type: none"> <li>◆ August 10</li> <li>◆ Extended to August 16 if the incumbent does not file</li> </ul>   |

|                  |  |
|------------------|--|
| <b>Document</b>  | <p><b>Statement of Economic Interests (Form 700)</b></p> <p>Candidates for school and special district must disclose their interests in real property and income within the past 12 months in a Form 700 to be filed within 30 days of assuming office. Candidates appointed to an office must file 10 days after assuming office.</p> <p>Candidates for city council are required to file a Form 700 with their Declaration of Candidacy.</p> <p>The statement is not required if the candidate has filed such a statement within the past 60 days for the same jurisdiction. See page 81.</p> <p style="text-align: right;">(Gov. Code §87200, 87201, 87500)</p> |
| <b>Who files</b> | Candidates elected to special districts  |
| <b>Deadline</b>  | File by January 7, 2002  |



# NOVEMBER 6, 2001 ELECTION CALENDAR

**Calendar Notes:** All Code Sections are Elections Code unless otherwise noted.  
Below the dates, "E" stands for Election,  
followed by the number of days prior to (-) or after (+) the election.

|   |  |
|---|--|
| <p><b>June 29<br/>(E-130)</b></p>                 | <p><b>Boundary Changes</b><br/>Last day for districts holding their elections in November to make boundary changes to be filed with the County Clerk by July 5<br/>§12101, 12111</p>   |
| <p><b>July 5*<br/>(E-125)</b></p>                 | <p><b>Special Districts Deliver Notice of Election to County Clerk</b><br/>Last day for district secretaries to deliver a Notice of Election to the Elections Department listing the elective offices to be filled, who will pay for any candidate's statement of qualifications, and any measure to be voted on in November. A map of the district must accompany the Notice. Special districts should include in the notice how a tie vote will be resolved.<br/>§10509, 10522, 10524, 10551, 15651</p>  |
| <p><b>July 9 – Aug. 8<br/>(E-120 to E-90)</b></p> | <p><b>Notice of Election</b><br/>Between these dates the County Clerk will publish a Notice of Election containing the date of the election, the offices to be filled, qualifications for candidacy required by the principal act, where nomination papers are available, deadline for filing Declarations of Candidacy, and a notice that appointment will be made in lieu of election in accordance with state law.<br/>§12112, 10515; Ed. Code 5326, 5328, 5328.5<br/><br/>Notice of central counting place may be combined with this notice.<br/>§12109<br/><br/>The county elections official will forward copies of all published notices to each special district.<br/>§12113</p> |

|   |  |
|---|--|
| <p><b>July 16 – Aug. 10<br/>(E-113 to E-88)</b></p> | <p><b>Candidate Nomination Period</b><br/>Candidates for special district boards obtain and file their Declarations of Candidacy along with their Candidate’s Statement of Qualifications if they choose to submit one. Forms are obtained from and filed with the county Elections Department.<br/><br/>§10510, 13307, 13311</p>  |
| <p><b>July 31<br/>(E-98)</b></p>                    | <p><b>Semiannual Campaign Statement</b><br/>Last day to file semiannual campaign statements, if required, by all candidates and committees.<br/><br/>Gov. Code §84200, 84218</p>   |
| <p><b>July 31<br/>(E-98)</b></p>                    | <p><b>Supplemental Independent Expenditure Reports</b><br/>Candidates or committees making independent expenditures of \$500 or more in a calendar year to support or oppose a candidate or measure shall file independent expenditure reports as if it were formed or existing primarily to support or oppose the candidate or measure.<br/><br/>Gov. Code §84203.5</p>   |
| <p><b>Aug. 10<br/>(E-88)</b></p>                    | <p><b>Notification of Mail Ballot Precinct</b><br/>Last day for the county elections official to determine that there are 250 or fewer persons registered to vote in any precinct. The county elections official may then mail to each voter a vote-by-mail ballot along with a statement that there will be no polling place for the general election.<br/><br/>§3005</p>   |
| <p><b>Aug. 10<br/>(E-88)</b></p>                    | <p><b>Last day for Candidates to File to Run for Office</b><br/>Deadline for candidates for special district boards to obtain and file their Declaration of Candidacy. Forms are obtained from and filed with the county Elections Department.</p> <p>If the candidate wants to file a Candidate’s Statement of Qualifications, it must be filed and paid for at the same time that the Declaration of Candidacy is being filed. The two documents may not be filed independent of one another.</p> <p>Any candidate who has filed a Declaration of Candidacy may withdraw that declaration up until 5 p.m. on Aug. 10. Candidates may not withdraw after that time.</p> <p>If the incumbent does not file, there will be a five-day extension for anyone other than the incumbent to file.<br/><br/>§10510, 10516, 13307, 13311</p> |

|   |   |
|---|---|
| <p><b>Aug. 10<br/>(E-88)</b></p>              | <p><b>Last Day to Submit Resolutions of Consolidation</b><br/> Final deadline for the governing body of a district, city, school or other political subdivision which requests consolidation of a local election for candidates or measures to file the request with the county Elections Department.</p> <p style="text-align: right;">§10401, 10402</p> <p><b>Earlier filing dates are encouraged in order to meet printing schedules.</b></p>  |
| <p><b>Aug. 11 - 15<br/>(E-87 to E-83)</b></p> | <p><b>Extension of Nomination Period</b><br/> If an incumbent member of a special district board does not file a Declaration of Candidacy by 5 p.m. on Aug. 10, any person other than the incumbent may file a Declaration of Candidacy by 5 p.m. on Aug. 15. This provision does not apply if there is no incumbent eligible to be elected. Any candidate who has filed may withdraw his or her Declaration of Candidacy up until 5 p.m. on the last day to file.</p> <p style="text-align: right;">§10225, 10407, 10516(b), 10603</p>   |
| <p><b>Aug. 15<br/>(E-83)</b></p>              | <p><b>Insufficient Number of Nominees</b><br/> <u><b>Special Districts:</b></u> If by 5 p.m. on this day, no one has been nominated or an insufficient number of persons has been nominated to fill an office or offices, and a petition signed by 10% or 50 voters (whichever is the smaller number) has not been submitted, the elections official shall certify this fact to the Board of Supervisors. A person who has filed a Declaration of Candidacy shall be appointed by the Board of Supervisors at a regular or special meeting held prior to the first Monday before the first Friday in December.</p> <p>If no one filed, another qualified person shall be appointed by the Board of Supervisors on or before November 6 and shall take office and serve as if elected.</p> <p style="text-align: right;">§10515</p> <p>Districts must take action to make applications available to persons interested in serving on the board. Applications must be delivered to the Board of Supervisors by October 12 in order to allow sufficient time for the applications to be reviewed and the appointment to be made at the October 30 Board of Supervisor's meeting.</p> |

|   |   |
|---|---|
| <p><b>Aug. 15 (cont.)<br/>(E-83)</b></p>            | <p>If a district fails to submit applications to fill the vacancy, a vacancy will exist when the term begins on December 7. The Special District Board then has 60 days to either fill the vacancy or call a special election. Any person appointed to fill the vacancy shall hold the seat until the next regularly scheduled election, November 2003, at which time the 2-year term would be up for election.</p> <p>If the district fails to fill the vacancy or call an election within the 60 days, the Board of Supervisors has an additional 30 days to fill the vacancy.</p> <p>If within 90 days of the vacancy it remains unfilled, the special district must call a special election to fill the vacancy at the next available election date.</p> <p style="text-align: right;">Govt. Code §1780</p> |
| <p><b>Aug. 16, 11 a.m.<br/>(E-82)</b></p>           | <p><b>Randomized Alphabet Drawing</b><br/>Secretary of State shall conduct the randomized alphabet drawing to determine the order in which the candidates will appear on the ballot.</p> <p style="text-align: right;">§13112</p>   |
| <p><b>Sept. 7<br/>(E-60)</b></p>                    | <p><b>Special Absent Voters' Ballot Applications</b><br/>The first day county election officials may process applications for special absent voters' ballots. The application must include the statement that the voter cannot vote an absentee ballot during the normal absentee voting period of October 8 to October 30, 2001 because of military or other contingencies that preclude normal mail delivery.</p> <p style="text-align: right;">§300(b), 3103</p>   |
| <p><b>Sept. 10 – Oct. 23<br/>(E-57 to E-14)</b></p> | <p><b>Statement of Write-in Candidacy and Nomination Papers</b><br/>During this period write-in candidates must file their Statement of Write-in Candidacy and Nomination Papers with the county elections official.</p> <p style="text-align: right;">§8601</p>  |
| <p><b>Sept. 13<br/>(E-54)</b></p>                   | <p><b>54-Day Walking Lists Prepared</b><br/>The County Elections Official will prepare the 54-day voter index that is available for purchase upon written application at a cost of 50 cents per 1,000 names.</p> <p style="text-align: right;">§2184</p>  |
| <p><b>Sept. 27 – Oct. 16<br/>(E-40 to E-21)</b></p> | <p><b>Counties Mail Sample Ballots</b><br/>Between these dates the county elections official shall mail a Sample Ballot and polling place notice to each registered voter.</p> <p style="text-align: right;">§13303, 13304</p>  |
| <p><b>Sept. 27<br/>(E-40)</b></p>                   | <p><b>First Pre-Election Statement</b><br/>Last day to file campaign statements for candidates and committees covering the period ending Sept. 22 (E-45).</p> <p style="text-align: right;">Gov. Code §84200.5, 84200.7b</p>  |
| <p><b>Oct. 4<br/>(E-33)</b></p>                     | <p><b>Supplemental Independent Expenditure Reports</b><br/>Candidates or committees making independent expenditures of \$500 or more in a calendar year to support or oppose a candidate or measure shall file independent expenditure reports as if it were formed or existing primarily to support or oppose the candidate or measure.</p> <p style="text-align: right;">Gov. Code §84203.5, 82031</p>  |

|  |  |
|--|--|
| <p><b>Oct. 9*</b><br/><b>(E-29)</b></p>  | <p><b>Establish Precinct Boards and Polling Places</b><br/>Last day for the county Elections Department to appoint board members and polling places and provide a copy to each county central committee and make a copy available to the public.<br/><br/>§12286, 12318</p>  |
| <p><b>Oct. 9*</b><br/><b>(E-29)</b></p>  | <p><b>Last Day to Register to Vote to Ensure Receipt of Sample Ballot</b><br/>Voter registration cards received by this date (postmark <b>NOT ACCEPTABLE</b>) will be added to the rolls and the voters will receive a Sample Ballot booklet prepared by the county elections official. The voters who submit cards after this date will <b>NOT</b> receive a Sample Ballot booklet, only a notice advising the late registrant that he/she will not receive a Sample Ballot and Voter's Information Pamphlet.<br/><br/>§9094, 13303§2102, 2107</p>  |
| <p><b>Oct. 9* - Oct. 30</b><br/><b>(E-29 to E-7)</b></p>   | <p><b>Vote-by-Mail Ballot Application Period</b><br/>Between these dates voters may apply for a vote-by-mail ballot from the Elections Department. Under certain conditions voters may obtain an absentee ballot after Oct. 30.<br/><br/>§3001, 3003</p>   |
| <p><b>Oct. 10 - Oct. 30</b><br/><b>(E-28 to E-7)</b></p>   | <p><b>New Residents and New Citizens Registration Period</b><br/>Registration for new residents shall begin the 28<sup>th</sup> day prior to an election and end on the seventh day prior to election day. This registration must be executed in the county elections office and the new resident shall vote a new resident's ballot containing the contest of President and Vice President only. The ballot shall be voted in the election official's office.<br/><br/>§332, 3400</p> <p>A new citizen registering to vote after the close of registration shall provide the county elections official with proof of citizenship prior to voting, and shall declare that he or she has established residency in California. New citizens vote a regular ballot.<br/><br/>§331, 3500, 3501</p> |
| <p><b>Oct. 9*</b><br/><b>(E-29)</b></p>  | <p><b>29-Day Walking Lists Prepared</b><br/>The County Elections Official will prepare the 29-day voter index available for purchase upon written application at a cost of 50 cents per 1,000 names.<br/><br/>§2184</p>  |
| <p><b>* Since the actual deadline falls on a holiday, the deadline date is moved to the next business day.</b></p> |  |

|   |   |
|---|---|
| <p><b>Oct. 21 – Nov. 5<br/>(E-16 to E-1)</b></p>  | <p><b>Late Contribution/Independent Expenditure Report</b><br/>         During this time late contribution/independent expenditure reports must be filed by FAX, telegram, mailgram, guaranteed overnight mail or deliver in person.<br/>         Gov. Code §84203, 84204</p>   |
| <p><b>Oct. 21 – Nov. 5<br/>(E-16 to E-1)</b></p>  | <p><b>24-Hour Statement of Organization Filing Requirement – Recipient Committees &amp; Slate Mailer Organizations</b><br/>         During the 16 days immediately preceding an election, any person or entity which qualifies as a recipient committee or slate mailer organization must file a Form 410 within 24 hours by telegram or personal delivery.<br/>         Gov. Code §84101, 84108</p>  |
| <p><b>Oct. 22<br/>(E-15)</b></p>                  | <p><b>15-day Voter Registration</b><br/>         The county elections official shall accept an affidavit of registration executed as part of a voter registration card in the forthcoming election if the affidavit is executed on or before the 15th day prior to the election, and if any of the following apply:<br/>         1) The affidavit is postmarked on or before the 15th day prior to the election and received by mail by the county elections official.<br/>         2) The affidavit is submitted to the Department of Motor Vehicles or accepted by any other public agency designated as a voter registration agency pursuant to the National Voter Registration Act of 1993 (42 U.S.C. Sec. 1973gg) prior to the election.<br/>         3) The affidavit is delivered to the county elections official by means other than those described in paragraphs (2) and (3) on or before the 15th day prior to the election.<br/>         §2107</p> |
| <p><b>Oct. 25<br/>(E-12)</b></p>                  | <p><b>Second Pre-Election Statement</b><br/>         The last day to file campaign statements for candidates and committees covering the period from 9-23-01 to 10-20-01.<br/>         Gov. Code §84200.5, 84200.8</p>  |
| <p><b>Oct. 25<br/>(E-12)</b></p>                  | <p><b>Supplemental Independent Expenditure Reports</b><br/>         Candidates or committees making independent expenditures of \$500 or more in a calendar year to support or oppose a candidate or measure shall file independent expenditure reports as if it were formed or existing primarily to support or oppose the candidate or measure.<br/>         Gov. Code §84203.5, 82031</p>  |
| <p><b>Oct. 27<br/>(E-10)</b></p>                  | <p><b>Notice of Central Counting Place</b><br/>         Last day for county elections official to publish the notice that the general election ballots will be counted at a specified public place. The notice shall be published one time in a newspaper of general circulation in the county.<br/>         §12109</p>   |
| <p><b>No later than<br/>Oct. 30<br/>(E-7)</b></p> | <p><b>Publish Polling Places and Precinct Board Members</b><br/>         Not less than one week before the election, the elections official shall publish the list of polling places and precinct board members.<br/>         §12105-12108, Gov. Code §6061</p>   |
| <p><b>Oct. 30<br/>(E-7)</b></p>                   | <p><b>Special District Appointments to Vacancies</b><br/>         The Board of Supervisors shall appoint any qualified person to fill</p>   |

|  |  |
|--|--|
|  | <p>vacancies on special district boards where no one filed during the Declaration of Candidacy period, July 16 to August 10. Appointees shall take office and serve as if elected.</p> |
|--|--|

§10515

|   |  |
|---|--|
| <p><b>Oct. 30<br/>(E-7)</b></p>                 | <p><b>Quarterly Statements by Ballot Measure Committees</b><br/> All committees primarily formed to support or oppose the qualification, passage or defeat of a ballot measure must file quarterly campaign statements for the period July 1 through Sept. 30 during any semiannual period in which the measure is not being voted upon. Following the election, such committees are only required to file semiannual statements unless they make contributions or expenditures to qualify, support or oppose other measures, in which case they would have an ongoing duty to file quarterly statements.</p> <p style="text-align: right;">Gov. Code §84202.3</p> |
| <p><b>Oct. 31 – Nov. 6<br/>(E-6 to E)</b></p>   | <p><b>Absentee Ballots – Late Conditions</b><br/> Voters unable to go to the polls because of illness or disability or because they will be absent from their precinct on election day, may come to the Elections Department and receive an absentee ballot over the counter. Voters may designate in writing a representative to bring the absentee ballot to them. The voter may either personally or through the authorized representative return the ballot to the Elections Department or polling place in the county.</p> <p style="text-align: right;">§3021</p>  |
| <p><b>Nov. 6<br/>(E)</b></p>                    | <p><b>Election Day</b><br/> Polls open at 7 a.m. and close at 8 p.m.</p> <p style="text-align: right;">§1000, 14212</p>  |
| <p><b>Nov. 8 – Dec. 4<br/>(E+2 to E+28)</b></p> | <p><b>Official Canvass</b><br/> The official canvass of precinct returns is to be completed during this time.</p> <p style="text-align: right;">§15301</p>   |
| <p><b>Nov. 26<br/>(E+20)</b></p>                | <p><b>Certify Results Declaration of Elected Candidates</b><br/> The county elections official shall prepare a statement of the results and submit it to the governing bodies holding elections.</p> <p>The county elections official shall declare the elected candidate or candidates to all school and special districts holding elections in November. The elections official shall immediately make and deliver to each person elected a certificate of election.</p> <p style="text-align: right;">§10551 – 10553, 15372</p>   |
| <p><b>Nov. 27<br/>(E+21)</b></p>                | <p><b>Board of Supervisors to Appoint Candidates In-Lieu of Election</b><br/> Candidates who filed a Declaration of Candidacy shall be appointed by the Board of Supervisors at a regular or special meeting held prior to the first Monday before the first Friday in December. This is the last regularly scheduled board meeting before this statutory deadline.</p> <p style="text-align: right;">§10515</p>   |
| <p><b>Dec. 7<br/>(E+31)</b></p>                 | <p><b>Assuming Office</b><br/> <u>Special Districts</u> – Candidates declared elected or appointed (i.e. as provided in §10515) take office this date at noon after having taken the oath and posted any bond required by the principal act.</p> <p style="text-align: right;">§10554</p>  |
| <p><b>Dec. 31<br/>(E+55)</b></p>                | <p><b>Statement Filed with Secretary of State</b><br/> The elections official shall file with the Secretary of State a statement containing a list offices up for election; the name and ballot designation of</p>   |

|  |  |
|--|--|
|  | <p>each candidate; the name of each successful candidate; the number of voter eligible to vote in the district; the number of votes for each candidate; the list of offices for which appointments have been made in lieu of election.</p> <p style="text-align: right;">§10552</p>  |
| <p><b>5 days after canvass</b></p>   | <p><b>Recount May Be Requested</b></p> <p>Within five (5) days after the completion of the official canvass, any voter may request a recount by filing a written request with the Elections official and specifying which candidates and/or measures are to be recounted.</p> <p>The request may specify the order of the precincts for the recount, and the petitioning voter shall, before commencement of each day's recount, deposit such sum as the official requires to cover costs (approximately \$500 per day).</p> <p>"Completion of the canvass" shall be presumed to be the time when the elections official signs the certified Statement of Vote.</p> <p style="text-align: right;">§15620 – 15634</p>   |
| <p><b>Varies between 10 days to 6 months following the certification of the vote</b></p> | <p><b>Contesting Election</b></p> <p>Any elector of a county, city, or of any political subdivision of either may contest any election held therein for any of the following grounds:</p> <ol style="list-style-type: none"> <li>a) That the precinct board or any member thereof was guilty of malconduct.</li> <li>b) That the person who has been declared elected to an office was not, at the time of the election, eligible to that office.</li> <li>c) That the defendant has given to any elector or member of a precinct board any bribe or reward, or has offered any bribe or reward for the purpose of procuring his election, or has committed any other offense against the elective franchise defined in Division 18 (commencing with §18000).</li> <li>d) That illegal votes were cast.</li> <li>e) That the precinct board in conducting the election or in canvassing the returns, made errors sufficient to change the result of the election as to any person who has been declared elected.</li> <li>f) That there was an error in the vote-counting programs or summation of ballot counts.</li> </ol> <p style="text-align: right;">§16100</p> <p>The contestant shall verify the statement of contest, as provided by Section 446 of the Code of Civil Procedure, and shall file it within the following times after the declaration of the result of the election by the body canvassing the returns thereof:</p> |

|   |   |
|---|---|
|   | <p><b>Contesting an Election (continued)</b></p> <p>a) In cases other than cases of a tie, where the contest is brought on any of the grounds mentioned in subdivision (c) of §6100, six months.</p> <p>b) In all cases of tie, 20 days.</p> <p>c) In cases involving presidential electors, 10 days.</p> <p>d) In all other cases, 30 days.</p> <p style="text-align: right;">§16401</p>   |
| <b>Dec. 12<br/>(E+35)</b>                             | <p><b>Statement of Vote to Secretary of State</b></p> <p>No later than this date the elections official shall send one copy of the Statement of Vote to the Secretary of State.</p> <p style="text-align: right;">§15375</p>  |
| <b>Jan. 7<br/>(30 days after<br/>assuming office)</b> | <p><b>Statement of Economic Interests – All newly elected officials</b></p> <p>Candidates elected to special districts and school districts must file a Form 700 within 30 days of assuming office.</p> <p>Such statement shall not be required if the candidate has filed, within 60 days prior to assuming office, a statement for the same jurisdiction.</p> <p style="text-align: right;">§87200, 87203</p>   |
| <b>Period Following<br/>Election</b>                  | <p><b>Document Retention</b></p> <p>Nomination documents and signatures in-lieu of filing fee petitions (if applicable) shall be held during the term of office for which they were filed and for four years after the expiration of the term. They may be destroyed as soon as practicable thereafter provided no legal action or proceeding is pending.</p> <p>Since the November 2001 is conducted under the Uniform District Election Law, precinct supplies and voted ballots must be preserved for 6 months following the election. If no legal action is pending at the time, the documents may be destroyed or recycled. Unused ballots may be destroyed or recycled after the November 2001 election.</p> <p>Initiative, referendum and recall petitions must be preserved for eight months following certification of the election for which the petition qualified or eight months after final examination of the petition by the clerk. If no legal action or proceeding is then pending, the petitions may be destroyed as soon as practicable.</p> <p style="text-align: right;">Elections Code Division 17, commencing with §17000</p> |
| <b>Jan. 31, 2002</b>                                  | <p><b>Semiannual Campaign Statement</b></p> <p>Last day to file semiannual campaign statements, if required, by all candidates and committees.</p> <p style="text-align: right;">Gov. Code §84200, 84218</p>  |
| <b>Jan. 31, 2002</b>                                  | <p><b>Supplemental Independent Expenditure Reports</b></p> <p>Candidates or committees making independent expenditures of \$500 or more in a calendar year to support or oppose a candidate or measure shall file independent expenditure reports as if it were formed or existing primarily to support or oppose the candidate or measure.</p> <p style="text-align: right;">Gov. Code §84203.5, 82031</p>   |



# OFFICES ON THE NOVEMBER 6, 2001 BALLOT

## Candidates to be elected from the following jurisdictions:

(The names of incumbents are on file at the County Elections Department or on the website at [www.votescount.com](http://www.votescount.com))

| <b>SPECIAL DISTRICTS</b>               |                                |                             |  |
|--|--------------------------------|-----------------------------|--|
| <b>DISTRICT</b>                        | <b>OFFICES UP FOR ELECTION</b> | <b>HOW ELECTED</b>          | <b>QUALIFICATIONS</b>  |
| Alba Park, Parkway and Recreation      | 3 Directors<br>(4-year terms)  | At large                    | Registered voter of the district or a registered voter of the state who owns property in the district. (Public Resources Code §5783.3) |
| Opal Cliffs Recreation                 | 2 Directors<br>(4-year terms)  |                             |  |
| Salsipuedes Sanitary                   | 2 Directors<br>(4-year terms)  | At large                    | Registered voter of the district (Health & Safety Code §6464)  |
| Place de Mer Geologic Hazard Abatement | 3 Directors<br>(4-year terms)  | At large by property owners | Owner of real property in district. (Public Resources Code §26567)   |

# Residence and Domicile

In order to qualify for most offices, a candidate must be a registered voter of the district or division thereof. The following code sections will assist in determining residence.

## **Elections Code §349. Residence and Domicile**

“Residence” for voting purposes means a person’s domicile. The domicile of a person is that place in which his or her habitation is fixed, wherein the person has the intention of remaining, and to which, whenever he or she is absent, the person has the intention of returning. At a given time, a person may have only one domicile. The residence of a person is that place in which the person’s habitation is fixed for some period of time, but wherein he or she does not have the intention of remaining. At a given time, a person may have more than one residence. (Added by Stats. 1994)

## **Elections Code §2020. Term of domicile**

The term of domicile is computed by including the day on which the person's domicile commenced and by excluding the day of the election. (Added by Stats. 1994)

## **Elections Code §2021. Person away for temporary purposes**

(a) A person who leaves his or her home to go into another state or precinct in this state for temporary purposes merely, with the intention of returning, does not lose his or her domicile.

A person does not gain a domicile in any precinct into which he or she comes for temporary purposes merely, without the intention of making that precinct his or her home. (Added by Stats. 1994)

## **Elections Code §2022. Move to another state**

If a person moves to another state with the intention of making it his or her domicile, the voter loses his or her domicile in this state. (Added by Stats. 1994)

## **Elections Code §2023. Move to another state**

If a person moves to another state as a place of permanent residence, with the intention of remaining there for an indefinite time, he or she loses his or her domicile in this state, notwithstanding that he or she intends to return at some future time. (Added by Stats. 1994)

## **Elections Code §2024. Intention and fact or removal**

The mere intention to acquire a new domicile, without the fact of removal avails nothing, neither does the fact of removal without the intention. (Added by Stats. 1994)

## **Elections Code §2025. Employment in the service of the United States; Navigation; Institution**

A person does not gain or lose a domicile solely by reason of his or her presence or absence from a place while employed in the service of the United States or of this state, nor while engaged in navigation, nor while a student of any institution of learning, nor while kept in an almshouse, asylum or prison. This section shall not be construed to prevent a student at an institution of learning from qualifying as an elector in the locality where he or she domiciles while attending that institution, when in fact the student has abandoned his or her former domicile. (Added by Stats. 1994)

## **Elections Code §2026. Domicile of Legislative Member or Congressional Representative**

The domicile of a Member of the Legislature or a Representative in the Congress of the United States

shall be conclusively presumed to be at the residence address indicated on that person's currently filed affidavit of registration. (Added by Stats. 1994)

**Elections Code §2027. Domicile of family; residence in trailer**

The place where a person's family is domiciled is his or her domicile unless it is a place for temporary establishment for his or her family or for transient objects. Residence in a trailer or vehicle or at any public camp or camping ground may constitute a domicile for voting purposes if the registrant complies with the other requirements of this article. (Added by Stats. 1994)

**Elections Code §2028. Place of family and business**

If a person has a family fixed in one place, and he or she does business in another, the former is his or her place of domicile, but any person having a family, who has taken up an abode with the intention of remaining and whose family does not so reside with him or her, is a domiciliary where he or she has so taken up the abode. (Added by Stats. 1994)

**Elections Code §2029. Domicile of spouse**

The domicile of one spouse shall not be presumed to be that of the other, but shall be determined independently in accordance with this article. (Added by Stats. 1994)

**Elections Code §2030. Marriage to a person employed in the service of the United States**

A domiciliary of this state who marries a person employed temporarily in this state in the service of the United States government, may elect to retain his or her domicile for the purpose of qualifying as an elector only, except that his or her domicile in this state shall terminate if the domiciliary qualifies as an elector in any other state or any territory. (Added by Stats. 1994)

**Elections Code §2031. Homeowner's property tax exemption; renter's tax credit; driver's license**

If a person has more than one residence and that person maintains a homeowner's property tax exemption on the dwelling of one of the residences pursuant to Section 218 of the Revenue and Taxation Code, there shall be a rebuttable presumption that the residence subject to the homeowner's property tax exemption is that person's domicile. However, this presumption shall not apply in the event any other residence is listed as the person's current residence address on any driver's license, identification card or vehicle registration issued to that person by, and on file with, the Department of Motor Vehicles.

If a person has more than one residence and that person claims a renter's tax credit for one of the residences pursuant to Section 17053.5 of the Revenue and Taxation Code, there shall be a rebuttable presumption that the residence subject to the renter's tax credit is that person's domicile. However, this presumption shall not apply in the event any other residence is listed as the person's current residence address on any driver's license, identification card, or vehicle registration issued to that person by, and on file with, the Department of Motor Vehicles. This section shall not be applicable to state or federal elected officials. (Added by Stats. 1994)

### **Elections Code §2032. More than one residence**

Except as provided in this article, if a person has more than one residence and that person has not physically resided at any one of the residences within the immediate preceding year, there shall be a rebuttable presumption that those residences in which he or she has not so resided within the immediate preceding year are merely residences as defined in subdivision (c) of Section 349 and not his or her domicile. (Added by Stats. 1994)

### **Elections Code §2033. Change of house number**

Whenever the house number or the mailing address of a voter has been changed and the voter's domicile is the same, the public agency authorizing the change shall notify the county elections official in writing of the change and the county elections official shall make the change on the voter's affidavit of registration and a new affidavit shall not be required. (Added by Stats. 1994)

### **Elections Code §2034. Domicile in more than one precinct**

A person domiciled in a house or apartment lying in more than one precinct shall be registered as domiciled in the precinct designated by the county elections official on the basis of the street address or other precinct the county elections official considers appropriate unless the person requests, either by letter or in person at the office of the county elections official, that he or she wishes to be domiciled for registration purposes in another precinct in which his or her house or apartment lies. In order to fulfill the requirements of this section, the letter of request shall include the name, signature, and residence address of the requester. (Added by Stats. 1994)

### **Elections Code §2035. Voter residence change 14 days prior to an election**

A person duly registered as a voter in any precinct in California who removes therefrom within 14 days prior to an election shall, for the purpose of that election, be entitled to vote in the precinct from which the person so removed until the close of the polls on the date of that election. (Amended by Stats. 2000)

### **Voter Registration and Establishment of Domicile. Walters v. Weed (1988) AKA "UCSC Student Voting Case." 45 Cal.3<sup>rd</sup>.1**

In this California Supreme Court decision, the court held that voters who have moved, but have not established a new domicile, may vote in the precinct of their former domicile even though they have not intention of returning to live there.

### **Homeless Person's Right to Register to Vote. Collier v. Menzel (1985). AKA "Fig Tree Case." 176 Cal. App.3d 24**

In this Court of Appeal decision, the court held that a homeless person may register at a location deemed by the voter to be a dwelling place or place of habitation for that voter. A mailing address needs to be provided in order for the voter to receive election materials.

# NAME & BALLOT DESIGNATION

## Name on Ballot

The candidate states on the Declaration of Candidacy how his/her name should appear on the ballot. This should be recognizable as the name under which the candidate is registered, though the two need not be identical. (Example: A candidate registered as "Jonathan William Smith" may use such variations as "John W. Smith," "John Smith," or "J. William (Bud) Smith.")

## Ballot Designation - Legislative Requirements

The ballot designation is the word or group of words that will appear on the ballot under the candidate's name, designating the principal profession, vocation, or occupation of the candidate.

The ballot designation that a candidate may use is governed by Elections Code Section 13107. All candidates (except candidates for Justice of the State Supreme Court or Court of Appeal) may choose a ballot designation to appear immediately under their name on the ballot. The ballot designation must be chosen from one of the four categories below:

- 1) **Elective Office:** Words designating the elective city, county, district, state, or federal office which the candidate holds at the time of filing the nomination documents to which he or she was elected by a vote of the people, or to which he or she was appointed, in the case of a superior court, municipal, or justice court judge.
- 2) **Incumbent:** The word "incumbent" may be used if the candidate is a candidate for the same office which he or she holds at the time of filing the nomination papers, and was elected to that office by a vote of the people, or, in the case of a superior, municipal, or justice court judge, was appointed to that office.
- 3) **3-word Profession/Occupation/Vocation:** No more than three words designating either the current principal professions, vocations, or occupations of the candidate. If there is no current position, the candidate may use the principal professions, vocations, or occupations of the candidate during the calendar year immediately preceding the filing of nomination documents. For purposes of this section, all California geographical names shall be considered to be one word. If more than one profession, vocation or occupation is listed, it shall be separated by a slash ("/").
- 4) **Appointed Incumbent:** The phrase "appointed incumbent" may be used if :
  - a) the candidate holds an office other than a judicial office by virtue of appointment, and the candidate is a candidate for the election to the same office, or,
  - b) if the candidate is a candidate for election to the same office or to some other office, the word "appointed" and the title of the office.

In either instance, the candidate may **not** use the unmodified word "incumbent" or any words designating the office unmodified by the word "appointed." However, the phrase "appointed incumbent" shall not be required of a candidate who seeks reelection to an office which he or she holds and to which he or she was appointed, as a nominated candidate, in lieu of an election.

## **Titles or Degrees Prohibited**

No title or degree shall appear on the same line on a ballot as a candidate's name, either before or after the candidate's name, in the case of any election to any office. (Elections Code 13106)

## **Unacceptable Designations**

Pursuant to Elections Code §13107(b), neither the Secretary of State nor any other election official shall accept a designation of which any of the following would be true:

- 1) It would mislead the voter.
- 2) It would suggest an evaluation of a candidate, such as outstanding, leading, expert, virtuous, or eminent.
- 3) It abbreviates the word "retired" or places it following any word or words which it modifies.
- 4) It uses a word or prefix, such as "former" or "ex", which means a prior status. The only exception is the use of the word "retired".
- 5) It uses the name of any political party, whether or not it has qualified for the ballot.
- 6) It uses a word or words referring to a racial, religious, or ethnic group.
- 7) It refers to any activity which is prohibited by law.

## **If Ballot Designation is Rejected**

If, upon checking the Declaration of Candidacy, the election official finds the designation to be in violation of any of the restrictions set forth in Section 13107, the elections official shall notify the candidate by registered or certified mail return receipt requested, addressed to the mailing address appearing on the candidate's nomination documents.

The candidate shall, within three (3) days from the date of receipt of the notice, appear before the election officer or, in the case of the Secretary of State, notify the Secretary of State by telephone, and provide an alternate designation.

In the event the candidate fails to provide an alternate designation, no designation shall appear after the candidate's name.

## **Ballot Designation May Not be Changed After Filing**

No designation given by a candidate shall be changed by the candidate after the final date for filing nomination papers, except as specifically requested by the election official.

## **Format of Ballot Designation**

In all cases, ballot designations shall be printed in 8-point uppercase and lowercase type.

If the designation selected is so long that it would conflict with the space requirements of Elections Code §13207 and 13211, the election official shall use a type size for the designation for each candidate for office sufficiently smaller to meet these requirements.

Whenever a foreign language translation of a candidate's designation is required under the Voting Rights Act of 1965 (42 U.S.C.A. §1971), as amended, to appear on the ballot in addition to the English version, it shall be as short as possible, as consistent as is practicable with Section 13107, and shall employ abbreviations and initials wherever possible in order to avoid undue length.

## **No Ballot Designation**

A candidate who does not want a ballot designation should indicate this in the space provided for ballot designation on the Declaration of Candidacy, or the space may be left blank. If no designation is given, it will be assumed that none is desired.

# SECRETARY OF STATE

## Ballot Designation Regulations

The following are regulations proposed by the Secretary of State and approved by the Office of Administrative Law that went into effect in January 1998. The regulations apply only to state and federal candidates. In order to be consistent with the state regulations, however, the Santa Cruz County Elections Department will apply these same regulations to candidates running for county, special district and school district offices.

### Chapter 7. Ballot Designations

#### 20710. General Provisions.

(a) The regulatory purpose of this Chapter is to ensure the accurate designation of the candidate upon the ballot in order that an informed electorate may intelligently elect one of the candidates.

(b) The Secretary of State shall, at all times, apply and interpret the provisions of Elections Code §13107 and the regulations included in this Chapter in a manner consistent with the regulatory purpose of this Chapter.

(c) Candidates are not required to use a ballot designation pursuant to Elections Code § 13107, subdivision (a), and may opt to leave the space for such a designation on the ballot blank. In order to notify the elections official as to whether he or she will use a ballot designation or will opt to leave the ballot designation space blank, the candidate must initial the appropriate box on the Declaration of Candidacy or otherwise so indicate on the Declaration of Candidacy.

(d) Pursuant to Elections Code §13107, subdivision (a), a candidate may submit a proposed ballot designation pursuant to any one of the four provisions specified in Elections Code §13107, subdivision (a), subparts (1) through (4), applicable to that candidate. The candidate shall be free to select from which of the applicable four subparts he or she is submitting his or her proposed ballot designation.

(e) The regulations set forth in this Chapter shall apply only to elections held for offices for which elections returns are certified by the Secretary of State of the State of California.

(f) Whenever, the word “should” is used in this Chapter, it is recommended, not mandatory.

Note: Authority: Section 12172.5, Government Code

Reference: Section 13107, Elections Code

## 20711. Ballot Designation Worksheet.

(a) In order to facilitate review of a candidate's proposed ballot designation by the Secretary of State pursuant to Elections Code §13107, the candidate may submit, at the time of filing his or her proposed ballot designation on the Declaration of Candidacy, a completed Ballot Designation Worksheet on a form provided by the Secretary of State.

(b) All Ballot Designation Worksheets filed with the Office of the Secretary of State or the county elections officials pursuant to this section shall be public records and shall be available for inspection and copying at the public counter of the Elections Division of the Office of the Secretary of State, Fifth Floor, 1500 11th Street, Sacramento, California 95814, or at the office of the applicable county elections official.

(c) The Secretary of State shall provide a master copy or copies of the Ballot Designation Worksheet to all elections officials responsible for providing and accepting the nomination documents for candidates in elections for offices certified by the Secretary of State. The Ballot Designation Worksheet shall request that the candidate proposing the ballot designation provide the following information:

- (1) The candidate's name, home, business and mailing addresses, telephone numbers, e-mail address, if available, and fax number;
- (2) A designation of the office for which the candidate is seeking election;
- (3) The name, home, business and mailing addresses, telephone numbers, e-mail address, if available, and fax number of the attorney representing the candidate or for any other person to be contacted in the event the Secretary of State requires further information regarding the proposed ballot designation;
- (4) The proposed ballot designation submitted by the candidate;
- (5) At the option of the candidate, the candidate may submit one or more proposed alternate ballot designations ranked in order of the candidate's preference;
- (6) A brief statement identifying the factual basis upon which the candidate claims the proposed ballot designation and each proposed alternate ballot designation, including the following:

(A) If the candidate holds elected office and is submitting his or her proposed ballot designation pursuant to Elections Code §13107, subdivisions (a)(1) or (a)(2), the candidate should indicate the elective office he or she currently occupies and may attach a copy of his or her Certificate of Election;

(B) If the candidate is a judicial officer and is submitting his or her proposed ballot designation pursuant to Elections Code §13107, subdivisions (a)(1) or (a)(2), the candidate should indicate the elective office he or she currently holds and may attach either (A) a copy of his or her Certificate of Election or (B) a copy of his or her commission or certificate of appointment, issued at the time the candidate was appointed to the judicial office which he or she currently occupies;

(C) If the candidate submits a ballot designation pursuant to Elections Code §13107, subdivision (a)(3), the candidate should indicate:

- (i) The title of the position or positions which he or she claims supports the proposed ballot designation;
- (ii) The dates during which the candidate held such position;
- (iii) A description of the work he or she performs in the position;
- (iv) The name of the candidate's business or employer;
- (v) The name and telephone number of a person or persons who could verify such information; and
- (vi) A statement that the professions, vocations or occupations relied upon to support the proposed ballot designation constitute the primary, main or leading professions, vocations or occupations of the candidate, in accordance with the definition of the term "principal" as set forth at §20714, subdivision (b).

(D) If the candidate submits a ballot designation pursuant to Elections Code §13107, subdivision (a)(4), the candidate should indicate the date on which he or she was appointed to the office for which he or she is an appointed incumbent.

(d) The candidate may attach or append any supporting documents or other exhibits to his or her Ballot Designation Worksheet which he or she believes support his or her proposed ballot designation. Such attached documents or other exhibits shall be deemed to be incorporated by reference as part of the candidate's Ballot Designation Worksheet and shall be considered as such by the Secretary of State.

Note: Authority: Section 12172.5, Government Code  
Reference: Section 13107, Elections Code

## **20712. Proposed Ballot Designations – Elected Officials**

Proposed ballot designations submitted pursuant to Elections Code §13107, subdivision (a)(1), shall be subject to the following provisions:

(a) In the case of candidates holding elective city, county, district, state, or federal office, the candidate's ballot designation shall be the elective office which the candidate holds at the time of filing the nomination documents.

(b) In the case of judicial officers, the candidate's ballot designation shall be the elective office which the candidate holds at the time of filing the nomination documents.

(c) There shall be no word count limitation applicable to ballot designations submitted pursuant to Elections Code 13107, subdivision (a)(1).

(d) Proposed ballot designations indicating a position of legislative leadership, such as "Majority Leader of the California Senate," "Minority Leader of the California State Assembly," "Speaker of the California State Assembly," "President Pro Tempore of the California State Senate," and the like, are not elective offices described in Elections Code 13107, subdivision (a)(1). Such ballot designations are improper, pursuant to Elections Code 13107, subdivision (a)(1). They may, however, be considered under the provisions of §13107(a)(3).

(e) Proposed ballot designations indicating that the candidate is a member of the state or county central committee of a political party, or an officer of a state or county central committee of a political party, are improper, as such positions do not constitute elective county or state offices as specified in Elections Code §13107, subdivision (a)(1).

Note: Authority: Section 12172.5, Government Code  
Reference: Section 13107, Elections Code

### **20713. Proposed Ballot Designations – Incumbents**

Proposed ballot designations submitted pursuant to Elections Code §13107, subdivision (a)(2), shall be subject to the following provisions:

(a) A proposed ballot designation submitted pursuant to Elections Code §13107, subdivision (a)(2), is limited “incumbent,” as that term is defined in Elections Code §13107, subdivision (a)(2).

(b) The term “incumbent” must be used as a noun. It shall not be used in conjunction with any other words, including any accompanying adjectives or modifiers, and must stand alone. A candidate qualified to use this designation pursuant to Elections Code § 13107, subdivision (a)(2), shall be entitled to use the ballot designation “Incumbent.”

(c) The word “incumbent” is strictly limited for use in ballot designations submitted pursuant to Elections Code §13107, subdivision (a)(2), and may not be used as an adjective in any other ballot designation.

Note: Authority: Section 12172.5, Government Code  
Reference: Section 13107, Elections Code

### **20714. Proposed Ballot Designations – Profession/Vocation/Occupation**

Proposed ballot designations submitted pursuant to Elections Code §13107, subdivision (a)(3), shall be subject to the following provisions:

(a) The terms “profession,” “vocation,” or “occupation,” as those terms are used in Elections Code §13107, subdivision (a)(3), are defined as follows:

(1) “Profession” means a field of employment requiring special education or skill and requiring specific knowledge of a particular discipline of learning or science. The labor and skill involved in a profession is predominantly mental or intellectual, rather than physical or manual. Recognized professions generally include, but are not limited to, law, medicine, education, engineering, accountancy, and journalism. Examples of an acceptable designation of a “profession,” as defined in Elections Code §13107, subdivision (a)(3), include, but are not limited to, “attorney,” “physician,” “accountant,” “architect,” and “teacher.”

(2) "Vocation" means a trade, a religious calling, or the work upon which a person, in most but not all cases, relies for his or her livelihood and spends a major portion of his or her time. As defined, vocations may include, but are not limited to, religious ministry, child rearing, homemaking, elderly and dependent care, and engaging in trades such as carpentry, cabinetmaking, plumbing, and the like. Examples of an acceptable designation of a "vocation," as defined in Elections Code §13107, subdivision (a)(3), include, but are not limited to, "minister," "priest," "mother," "father," "homemaker," "dependent care provider," "carpenter," "plumber," "electrician," and "cabinetmaker."

(3) "Occupation" means the employment in which one regularly engages or follows as the means of making a livelihood. Examples of an acceptable designation of an "occupation," as defined in Elections Code §13107, subdivision (a)(3), include, but are not limited to, "rancher," "restaurateur," "retail salesperson," "manual laborer," "construction worker," "computer manufacturing executive," "military pilot," "secretary," and "police officer."

(b) "Principal," as that term is used in Elections Code §13107, subdivision (a)(3), means a substantial involvement of time and effort such that the activity is one of the primary, main or leading professional, vocational or occupational endeavors of the candidate. The term "principal" precludes any activity which does not entail a significant involvement on the part of the candidate. Involvement which is only nominal, pro forma, or titular in character does not meet the requirements of the statute.

(1) If a candidate is licensed by the State of California to engage in a profession, vocation or occupation, the candidate is entitled to consider it one of his or her "principal" professions, vocations or occupations if (i) the candidate has maintained his or her license current as of the date he or she filed his or her nomination documents by complying with all applicable requirements of the respective licensure, including the payment of all applicable license fees and (ii) the status of the candidate's license is active at the time he or she filed his or her nomination documents.

(2) A candidate who holds a professional, vocational or occupational license issued by the State of California may not claim such profession, vocation or occupation as one of his or her "principal" professions, vocations or occupations if (i) the candidate's licensure status is "inactive" at the time the candidate files his or her nomination document, or (ii) the candidate's license has been suspended or revoked by the agency issuing the license at the time the candidate files his or her nomination documents.

(c) In order for a ballot designation submitted pursuant to Elections Code §13107, subdivision (a)(3), to be deemed acceptable by the Secretary of State, it must accurately state the candidate's principal professions, vocations or occupations, as those terms are defined in subdivisions (a) and (b) herein. Each proposed principal profession, vocation or occupation submitted by the candidate must be factually accurate, descriptive of the candidate's principal profession, vocation or occupation, must be neither confusing nor misleading, and must be in full and complete compliance with Elections Code §13107 and the regulations included in this Chapter.

(d) If the candidate is engaged in a profession, vocation or occupation at the time he or she files his or her nomination documents, the candidate's proposed ballot designation is entitled to consist of the candidate's current principal professions, vocations and occupations. In the event the candidate does not have a current principal profession, vocation or occupation at the time he or she files his or her nomination documents, the candidate may use a ballot designation consisting of his or her principal professions, vocations or occupations, which the

candidate was principally engaged in during the calendar year immediately preceding the filing of the candidate's nomination papers.

(e) A candidate may engage in multiple principal professions, vocations or occupations. Accordingly, the candidate may designate multiple principal professions, vocations or occupations. If a candidate proposes a ballot designation including multiple principal professions, vocations or occupations, the proposed ballot designation must comply with the following provisions:

(1) The proposed ballot designation must comply with the three-word limitation specified in Elections Code §13107, subdivision (a)(3), and as implemented pursuant to subdivision (f) herein.

(2) Each such proposed profession, vocation or occupation shall be separately considered by the Secretary of State and must independently qualify as a "principal" profession, vocation or occupation, as that term is defined pursuant to subdivision (b) herein.

(3) When multiple professions, vocations or occupations are proposed as a ballot designation, they shall be separated by a slash ("/"). An example of an acceptable designation would be "Legislator/Rancher/Physician."

(f) Pursuant to Elections Code §13107, subdivision (a)(3), the candidate's ballot designation shall be limited to not more than three (3) words. The following rules shall govern the application of the three word limitation:

(1) The proposed ballot designation shall be grammatically correct, generic, and all words must be spelled correctly.

(2) Punctuation shall be limited to the use of a comma (e.g., District Attorney, Los Angeles County) and a slash (e.g., Legislator/Rancher/Physician), pursuant to subdivision (e) of this section. A hyphen may be used if, and only if, the use of a hyphen is called for in the spelling of a word as it appears in a standard reference dictionary of the English language.

(3) All California geographical names shall be considered to be one word and shall be limited to the names of cities, counties and states. The names of special districts and political subdivisions are not "geographical names", as the term is used in Elections Code 13107, subdivision (a)(3). If the candidate desires, the geographical name may be used in the form of "City of . . .," "County of . . .," or "City and County of . . ." Examples of geographical names considered to be one word include Tehama County, Los Angeles County and County of Sacramento.

(4) An acronym shall be counted as one word.

Note: Authority: Section 12172.5, Government Code  
Reference: Section 13107, Elections Code

## **20715. Proposed Ballot Designations – Using the Word “Appointed”**

(a) Pursuant to Elections Code §13107, subdivision (a)(4), a candidate may propose a ballot designation consisting of the phrase “appointed incumbent” if the candidate holds an office, other than a judicial office, by virtue of appointment, and the candidate is a candidate for election to the same office. The candidate may not use the unmodified word “incumbent” or any words designating the office unmodified by the word “appointed.”

(b) Pursuant to Elections Code § 13107, subdivision (a)(4), a candidate may propose a ballot designation consisting of the word “appointed” in conjunction with the elective office, if the candidate is a candidate for election to the same office or to some other office. The candidate may not use any words designating the office unmodified by the word “appointed.”

(c) There shall be no word count limitation applicable to ballot designations submitted pursuant to Elections Code §13107, subdivision (a)(4).

Note: Authority: Section 12172.5, Government Code  
Reference: Section 13107, Elections Code

## **20716. Unacceptable Ballot Designations.**

(a) The Secretary of State shall reject as unacceptable any proposed ballot designation which fails to comply with, or is otherwise inappropriate pursuant to, Elections Code §13107, subdivision (a); is prohibited pursuant to Elections Code §13107, subdivision (b); is misleading; or is otherwise improper pursuant to the regulations set forth in this Chapter.

(b) The following types of activities are distinguished from professions, vocations and occupations and are not acceptable as ballot designations pursuant to Elections Code §13107, subdivision (a)(3):

(1) Avocations: An avocation is a casual or occasional activity, diversion or hobby pursued principally for enjoyment and in addition to the candidate’s principal profession, vocation or occupation. Avocations may include, but are not limited to, hobbies, social activities, volunteer work, and matters pursued as an amateur.

(2) Pro Forma Professions, Vocations and Occupations: Pro forma professions, vocations or occupations are positions held by the candidate which consume little or none of the candidate’s time and which, by their nature, are voluntary or for which the candidate is not compensated. Pro forma professions, vocations and occupations may include, but are not limited to, such pursuits as honorary peace officer, volunteer firefighter, honorary chairperson, honorary professor, goodwill ambassador, official host or hostess and the like.

(3) Statuses: A status is a state, condition, social position or legal relation of the candidate to another person, persons or the community as a whole. A status is generic in nature and generally fails to identify with any particular specificity the manner by which the candidate earns his or her livelihood or spends the substantial majority of his or her time. Examples of a status include, but are not limited to, philanthropist, activist, patriot, taxpayer, concerned citizen, husband, wife, and the like.

(c) Pursuant to Elections Code §13107, subdivision (b)(1), the Secretary of State shall reject as unacceptable any proposed ballot designation which would mislead voters. In making this determination, the Secretary of State shall determine whether there is a substantial likelihood

that a reasonably prudent voter would be misled as to the candidate's principal profession, vocation or occupation by the candidate's proposed ballot designation. The determination shall take into account the plain meaning of the words constituting the proposed ballot designation and the factual accuracy of the proposed ballot designation based upon supporting documents or other evidence submitted by the candidate in support of the proposed ballot designation, pursuant to 20711 and 20717 of this Chapter.

(d) A ballot designation may not comprise or include commercial identification information, such as a trademark, service mark, trade name, or the specific name of a business, partnership, corporation, company, foundation, or organization. Examples of an improper use of commercial identification information include, but are not limited to, "Acme Company President," "Universal Widget Inventor," "Director, Smith Foundation," "UCLA Professor," and the like.

(e) Pursuant to Elections Code §13107, subdivision (b)(2), the Secretary of State shall reject as unacceptable any proposed ballot designation which would suggest an evaluation of the candidate's qualifications, honesty, integrity, leadership abilities or character. Any laudatory or derogatory adjectives which would suggest an evaluation of the candidate's qualifications shall not be permitted. Such impermissible adjectives include, but are not limited to, "outstanding," "leading," "expert," "virtuous," "eminent," "best," "exalted," "prominent," "famous," "respected," "honored," "honest," "dishonest," "corrupt," "lazy," and the like.

(f) Pursuant to Elections Code §13107, subdivision (b)(3), the Secretary of State shall reject as unacceptable any proposed ballot designation which abbreviates the word retired or places it following any word or words which it modifies. Examples of impermissible designations include "Ret. Army General", "Major USAF, Retired" and "City Attorney, Retired".

(g) Pursuant to Elections Code 13107, subdivision (b)(4), the Secretary of State shall reject as unacceptable any proposed ballot designation which uses a word or prefix to indicate a prior profession, vocation, occupation or elected, appointed or judicial office previously held by the candidate. Such impermissible words or prefixes include, but are not limited to, "Ex-", "former", "past", and "erstwhile". Examples of impermissible designations include "Former Congressman", "Ex-Senator", and "Former Educator".

(h) Subject to the provisions of Elections Code 13107, subdivision (b)(4), use of the word "retired" in a ballot designation is generally limited for use by individuals who have permanently given up their chosen principal profession, vocation or occupation. In evaluating a proposed ballot designation including the word "retired", the Secretary of State will consider the following factors in making a determination as to the propriety of the use of the term "retired":

- (1) Prior to retiring from his or her principal profession, vocation or occupation, the candidate worked in such profession, vocation or occupation for more than 5 years;
- (2) The candidate is collecting, or eligible to collect, retirement benefits or other type of vested pension;
- (3) The candidate has reached at least the age of 55 years;
- (4) The candidate voluntarily left his or her last professional, vocational or occupational position;
- (5) If the candidate is requesting a ballot designation indicating that he or she is a retired public official, the candidate must have previously voluntarily retired from public office, not have been involuntarily removed from office, not have been recalled by voters, and not

have surrendered the office to seek another office or failed to win reelection to the office;  
(6) The candidate has not had another more recent, intervening principal profession, vocation or occupation; and,  
(7) The candidate's retirement benefits are providing him or her with a principal source of income.

(i) Pursuant to Elections Code §13107, subdivision (b)(5), the Secretary of State shall reject as unacceptable any proposed ballot designation which uses the name of any political party, whether or not it has qualified for recognized ballot status.

(j) Pursuant to Elections Code §13107, subdivision (b)(6), the Secretary of State shall reject as unacceptable any proposed ballot designation which uses a word or words referring to a racial, religious, or ethnic group.

(1) The Secretary of State shall reject as unacceptable any ballot designation which expressly contains or implies any ethnic or racial slurs or ethnically or racially derogatory language.

(2) If the candidate is a member of the clergy, the candidate may not make reference to his or her specific denomination. However, the candidate may use his or her clerical title as a ballot designation (e.g., "Rabbi", "Pastor", "Minister", "Priest", "Bishop", "Deacon", "Monk", "Nun", "Imam", etc.) (k) Pursuant to Elections Code §13107, subdivision (b)(6), the Secretary of State shall reject as unacceptable any proposed ballot designation which refers to any activity prohibited by law. Unlawful activity includes any activities, conduct, professions, vocations, or occupations prohibited by state or federal law.

Note: Authority: Section 12172.5, Government Code  
Reference: Section 13107, Elections Code

### **20717. Requests for Supporting Documentation.**

In addition to the Ballot Designation Worksheet requested to be filed with the Secretary of State pursuant to §20711 of this Chapter, the Secretary of State may request that a candidate submit additional supporting documentation or other evidence to support the proposed ballot designation.

(a) Time is of the essence regarding all matters pertaining to the review of proposed ballot designations submitted by candidates for public office. Failure to promptly submit requested supporting materials will preclude consideration of such materials and the rendering of a summary, final decision on the candidate's proposed ballot designation.

(b) The Secretary of State will communicate, whenever possible, with the candidate in the most expeditious manner, including, but not limited to, telephone, facsimile transmission and electronic mail at the number or address provided by the candidate. When the candidate does not have reasonable access to a facsimile machine or electronic mail, the Secretary of State will transmit written communication to the candidate by means of overnight express delivery to the address provided by the candidate.

(c) The candidate shall have the burden of establishing that the proposed ballot designation that he or she has submitted is accurate and complies with all provisions of Elections Code 13107 and this Chapter.

Note: Authority: Section 12172.5, Government Code

Reference: Section 13107, Elections Code

**20718. Communication of Decisions Regarding Ballot Designations.**

(a) An official copy of the decision of the Secretary of State regarding a candidate's ballot designation will be made in writing and transmitted directly to the candidate by registered or certified mail, return receipt requested, to the address provided by the candidate. The Secretary of State shall also provide a copy to the elections official in the candidate's county of residence and to the elections official of each county within the political subdivision. Copies may also be made available to all other candidates in the race.

(b) At the request of the candidate, the Secretary of State will transmit an unofficial copy of the decision of the Secretary of State regarding the candidate's proposed ballot designation by facsimile transmission sent to the facsimile number listed on the candidate's Ballot Designation Worksheet. When the candidate does not have reasonable access to a facsimile machine, the Secretary of State will transmit to the candidate, at the candidate's request, an unofficial copy of the decision by means of overnight express delivery to the address listed on the candidate's Ballot Designation Worksheet provided. If the candidate has not submitted a Ballot Designation Worksheet, the Secretary of State will transmit an official copy to the facsimile number provided by the candidate or, if the candidate does not have reasonable access to a facsimile machine, by overnight express mail to the address provided by the candidate.

(c) All written decisions of the Secretary of State regarding ballot designations are public records and are available for inspection and copying at the public counter of the Elections Division of the Office of the Secretary of State, 1500 11th Street, Fifth Floor, Sacramento, California 95814.

Note: Authority: Section 12172.5, Government Code  
Reference: Section 13107, Elections Code

**20719. Service of Legal Process Regarding Ballot Designations.**

(a) In the event a candidate or other interested party files a petition for the issuance of an extraordinary writ with the court or other legal action pertaining to a candidate's ballot designation, the summons and any other legal process should be served upon the Chief Counsel to the Secretary of State, Legal Affairs Unit, Executive Office of the Secretary, 1500 11th Street, Sixth Floor, Sacramento, California 95814. The Chief Counsel may designate a Deputy Secretary of State in the Legal Affairs Unit to accept service of process on behalf of the Secretary of State.

(b) Telephone notice pertaining to any ex parte applications filed with the court by any candidate or other interested party should be directed to the attention of the Chief Counsel to the Secretary of State at (916) 653-7244. Counsel for all parties to such ex parte matters are admonished that waivers of the Secretary of State's right to timely notice and the right to personally appear at the ex parte hearing will be granted in writing and only in limited instances.

(c) The Secretary of State shall provide a copy of any legal actions in subdivision (a) or (b) above to the elections official in the county of the candidate's residence and any other county

in the district.

Note: Authority: Section 12172.5, Government Code  
Reference: Section 13107, Elections Code

# WRITE-IN CANDIDACY

## Filing Period – September 10 – October 23

### Write-In Procedure

Persons who did not file a Declaration of Candidacy and fulfill their nomination requirements to place their name on the ballot may run for an office on the ballot as a write-in candidate.

If there was an insufficient number of nominees who filed for a special district office, that office does not appear on the ballot unless a petition with 10 percent of the voters or 50 voters, whichever is smaller, is submitted requesting that the general district election be held. (§10515)

Write-in votes will be counted and certified in the Statement of Vote only for **qualified write-in candidates** who file the required forms with the Elections Department no later than 14 days prior to Election Day. The Elections Department will forward the forms to the Secretary of State in the case of offices that must be certified by the Secretary of State.

Voters may write-in any person they wish for any office regardless of whether the person has qualified or not. However, the votes will only be tabulated for qualified write-in candidates.

To qualify as a write-in candidate, a person must file with the Elections Department the following documents:

- ◆ A “Statement of Write-in Candidacy” which shall contain the candidate's name, residence address, a declaration stating that he or she is a write-in candidate, the title of the office for which he or she is running and the date of the election.

Write-in candidates are subject to the same requirements as other candidates with regard to disclosure of economic interests and campaign disclosure. (Gov. Code §82007; Elections Code §305)

The Elections Department will provide polling places with a list of **qualified write-in candidates**.



# CANDIDATE STATEMENT OF QUALIFICATIONS

## OVERVIEW (Elections Code §13307)

- ◆ Each candidate for nonpartisan elective office in any local agency, including any city, county, or district may prepare a Candidate's Statement. All must file a Candidate's Statement Agreement indicating if a statement will be filed or not.
- ◆ The Candidate's Statement is designed to acquaint voters with candidates' qualifications for the office they are seeking.
- ◆ The Statement is printed in the Voter's Information Pamphlet section of the county Sample Ballot mailed to all registered voters in the district eligible to vote on that particular office.

**PERIOD FOR FILING:** **July 16 to August 10** by 5 p.m. The statement must be paid for and filed with the Declaration of Candidacy. (§13307)

**EXTENDED PERIOD:** If the Nomination filing period is extended, then all persons, other than the incumbent, have until **August 15**, 5 p.m. in which to file their Candidate's Statement along with their Declaration of Candidacy.

**WHERE:** Elections Department, 701 Ocean Street, Room 210, Santa Cruz, CA 95060-4076, 831-454-2060. Regular office hours - 8 a.m. to 5 p.m., Monday through Friday. Candidate's Statements cannot be filed by FAX.

**CONTENTS:** The statement contains the candidate's:

- ◆ name
- ◆ age (optional)
- ◆ occupation (optional), and
- ◆ a brief description of no more than 200 words (unless 400 has been authorized by the governing board) of the candidate's education and qualifications expressed by the candidate.

**RESTRICTIONS:** The candidate's statement shall not include the party affiliation of the candidate nor membership or activity in partisan political organizations.

**CONFIDENTIALITY:** Statements are confidential until 5 p.m. on the last day to file. (§13311)

**WITHDRAWAL:** Statements may not be changed but may be withdrawn no later than 5 p.m. on **August 13**. If there is an extended filing time, no later than 5 p.m. on **August 16**.

**PAYMENT:** Cost for printing a candidate's statement in the Voter's Information Pamphlet shall be paid at the time of filing the statement with the Declaration of Candidacy. Checks are made payable to the County Clerk.

## HOW TO WRITE YOUR

# CANDIDATE'S STATEMENT

## **C** ONTENT

◆ Be accurate. **Documents will be printed as submitted.** **SPELLING, PUNCTUATION, AND GRAMMATICAL ERRORS WILL NOT BE CORRECTED BY THE ELECTIONS DEPARTMENT.**

- ◆ Please **TYPE** your candidate statement **DO NOT USE ALL CAPS.** Statements will be rejected if they are typed in all capital letters or if the statement is not readable. Candidates may type their statement on the form provided by the Elections Department; type it on their own paper, double-spaced only; or submit the statement on a disk along with a hard copy. Statements submitted in a readable electronic format will receive a \$25 discount. If there is a discrepancy between the content of the hard copy and the content in the electronic format, the hard copy content will prevail.
- ◆ Do not include party affiliation.
- ◆ Statements for judicial offices may not make reference to other candidates for judicial office or to another candidate's qualifications, character, or activities. (Elections Code §13308)
- ◆ Do not use profanity or other objectionable language.
- ◆ The heading includes the candidate's name and office sought. The Candidate's Statement will begin with the words: "**Education and Qualifications:**" followed by the text filed by the candidate. These words, as well as the heading, are standardized and included in the quarter page space provided. The words, however, do not count toward the number of words allowed for the statement.
- ◆ Subheadings and deviations from the standardized heading will not be accepted.
- ◆ The "**Occupation**" field in the Candidate's Statement is NOT governed by the laws and regulations pertaining to the ballot designation that appears underneath the candidate's name on the ballot. Therefore, it may be different from the candidate's ballot designation. However, if its length extends the statement beyond the space provided, the candidate will be charged double to print the statement.

# S IZE

- ◆ Confine statement to 200 words unless otherwise authorized. See guidelines on “How to Count Words” on page 56.

◆ The candidate’s statement must fit inside a quarter page square of the Voter’s Information Pamphlet measuring 3.75" wide by 4.5" tall. If the statement exceeds this space, the candidate will be charged double for using an additional square. Please see example on page 49.

# F ORMAT

- ◆ All text will be formatted flush left – no indents will be allowed, except for bullets.

◆ Statements are printed in the Voter’s Information Pamphlet in type of uniform size, darkness and spacing. Santa Cruz County uses 9.5 point Arial or Arial Narrow type - no exceptions.

- ◆ **Bolding**, CAPITALIZING, underlining, and centering text are not permitted. If the statement contains any bolding, capitalizing (other than abbreviations or acronyms), underlining, and centering of text, the text will be converted to normal text without these attributes.
- ◆ Limited use of *italics* is permitted.
- ◆ Bullets, using a solid circle **ONLY**, are permitted and will be indented like the bullets on this page. If the bulleted text extends the statement beyond the space allowed, candidates will be charged double, or bullets will be removed and the text will run together, separated by a semi-colon.
- ◆ Notwithstanding the above guidelines, nothing shall be deemed to make any statement or author of the statement free or exempt from any civil or criminal action or penalty because of any false, slanderous, or libelous statements offered for printing.

# THE PRINTED CANDIDATE'S STATEMENT

Below is a sample of a Candidate's Statement of Qualifications as it will appear in the Voter's Information Pamphlet.

|   |  |
|---|--|
| <p>Statement of <b>CHRIS CANDIDATE</b>, Candidate for<br/>County Supervisor, 6<sup>th</sup> District<br/>County of Santa Cruz</p> <p><b>Occupation:</b> Teacher <span style="float: right;"><b>Age:</b> 42</span></p> <p><b>Education and Qualifications:</b> I can bring to the office a diversity of viewpoints and experience. Born and raised in the Monterey Bay Area, with my family still farming, I can appreciate the concerns of the environmentalist. On the other hand, having been in business since my undergraduate days at UCSC, and with my experience in the rental and real estate fields, I appreciate the practical housing requirements of our community.</p> <p>My credentials include:</p> <ul style="list-style-type: none"> <li>• Graduate of local high schools, UCSC graduate with a B.S. and MBA;</li> <li>• US Air Force sergeant;</li> <li>• Married and parent of 2;</li> <li>• Small Business owner;</li> <li>• Homeowner;</li> </ul> <p>In addition, I have either served or am serving in the following clubs and organizations: Rotary; Chamber of Commerce; Sierra Club; PTA; Arts Council; Rock the Vote; and League of Women Voters.</p> <p>If elected to this office, I will do my best to continue to serve as a leader and role model for our community and children.</p> | <p><b>The Spanish translation would appear here for candidates who request and purchase a Spanish translation of their Candidate's Statement.</b></p> <p><b>Or, this space would be used for other candidates running for the same office.</b></p> <p><b>Candidate statements will appear in the same order as the candidates' names appear on the ballot.</b></p> |
|---|--|

**NOTE:** If, due to excessive bulleting or any other reason, your candidate's statement exceeds the 3.75" wide by 4.5" tall quarter page provided for each statement, you will be charged double for the additional space.

Or, the elections official may delete the bullets, and instead run the text together, separating the bulleted sections by semi-colons. Both bullets and a listing using semi-colons have been used in the sample above to illustrate this formatting.

Candidate's who submit 400-word statements (if authorized by the jurisdiction) will be charged double for statements with 201 to 400 words.

# COST OF PRINTING CANDIDATE'S STATEMENTS

## **SUBMIT CANDIDATE'S STATEMENT IN AN ELECTRONIC FORMAT AND RECEIVE A \$25 DISCOUNT!!**

The Elections Department encourages candidates to submit their statements on a 3 ½ " computer diskette or sent via e-mail to [gail.pellerin@co.santa-cruz.ca.us](mailto:gail.pellerin@co.santa-cruz.ca.us)

- ONE signed hard copy must be filed by the deadline.
- Format all text flush left and execute and save italics and bullets within the file.
- Discounts will only be provided to those candidates whose files can be read by the Elections Department's computers. If elections staff have to type the statement, the discount will not apply.

**If there are discrepancies between the hard copy and the electronic copy,  
the hard copy will prevail.**

**PAYMENT:** The statement may be paid for by check made payable to the County Clerk or cash if the candidate is using personal funds and will not be reimbursed through the committee. The fee shall be paid at the time candidates file their Declarations of Candidacy if the candidate wants to have a statement printed in the Voter's Information Pamphlet.

If the Candidate's Statement is withdrawn by 5 p.m. on August 14 (or by August 17, if there is an extension) the fee will be refunded in full.

**PRINTING IN SPANISH:** Santa Cruz County is not required to print statements in a second language; therefore, any candidate wishing their statement be printed in a second language in Santa Cruz County's Voter Information Pamphlet will be required to pay double the amount listed. A facsimile copy of the ballot with the ballot measures and ballot instructions printed in Spanish will be available at each polling place on election day and upon request by voters at no expense to the candidate. (§14201)

**OVERLAPPING DISTRICTS:** When a district overlaps into another county, the candidate's statement shall be printed in each and every county in which the district lies. The candidate must write a separate check made payable to the appropriate county in the amount provided below to cover the cost of printing, handling and mailing the statement within the respective counties.

**COST FORMULA:** The cost of the Candidate's Statement is based on 200 words. It includes a flat fee of \$108 for typesetting and page setup, plus \$.005 per voter in the district for printing and \$.005 per voter in the district for postage. Registration figures are based on the February 2001 report of registration. If the district has authorized a 400-word statement, candidates will be charged double for statements with 201 to 400 words. **Candidates who submit their statement in a readable electronic format will receive a \$25 discount.**

The difference between the estimated costs and the actual costs will either be refunded or billed to the candidate following the election.

## **SPECIAL DISTRICTS**

| <b>DISTRICT</b>                        | <b>REGISTERED<br/>VOTERS (2/01)</b> | <b>COST OF<br/>STATEMENT<br/>(200 WORDS)</b> |
|--|-------------------------------------|--|
| Alba Park, Parkway and Recreation      | 58                                  | \$108.58                                     |
| Opal Cliffs Recreation                 | 545                                 | \$113.45                                     |
| Salsipuedes Sanitary                   | 723                                 | \$115.23                                     |
| Place de Mer Geologic Hazard Abatement | 50 (parcels)                        | \$110.00                                     |

# HOW TO COUNT WORDS

(Pursuant to Elections Code Section 9)

This section shall not apply to counting words for ballot designations.

## Each word is counted as one word except:

- PUNCTUATION:** Punctuation is not counted.
- TITLES:** Words used in the title of the document, such as "Argument in Favor of Measure A" are not counted.
- CITIES/COUNTIES:** All geographical names shall be counted as one word. Areas that have boundaries and can be mapped are considered geographic areas. For example, "County of Santa Cruz" and "Pajaro Valley Unified School District" shall each be counted as one word.
- ABBREVIATIONS:** Each abbreviation for a word, phrase, or expression shall be counted as one word.
- HYPHENATIONS:** Hyphenated words that appear in any generally available dictionary shall be considered as one word. Each part of all other hyphenated words shall be counted as a separate word.
- DATES:** Dates consisting of a combination of words and digits shall be counted as two words. Dates consisting of only a combination of digits shall be counted as one word. January 1, 2000 shall be counted as two words, whereas 1/1/00 shall be counted as one word.
- NUMBERS:** Any number consisting of a digit or digits shall be considered as one word. Any number which is spelled, shall be considered as a separate word. "100" shall be counted as one word, whereas "one hundred" shall be counted as two words.
- PHONE & INTERNET:** Website addresses and telephone numbers are one word.
- PERCENT, ETC.:** It is department policy to count numbers consisting of a digit or digits used with a dollar sign (\$), cent sign (¢), percentage sign (%), or number sign (#) as one word.



# REGISTRATION AND ELECTION DATA

## Confidential Voter File

Pursuant to Elections Code Sections 2187(g), 2188 and 2194, voter registration information is available to persons or groups for election, scholarly, journalistic or political purposes, or governmental purposes, as determined by the Secretary of State. Each written request to view, purchase, or use voter registration information must be submitted in person and with identification on an application available at the Santa Cruz County Elections Department.

## Permissible Usage

The California Code of Regulations, Division 7, Article 1, Section 19003, specifies permissible uses for any data obtained from voter registration files.

Permissible usage includes, but is not limited to:

- ◆ Using registration information for purposes of communicating with others in connection with any election;
- ◆ Sending communications, including but not limited to, mailings which campaign for or against any candidate or ballot measure in any election;
- ◆ Sending communications, including but not limited to, mailings by or in behalf of any political party; provided however, that the content of such communications shall be devoted to news and opinions of candidates, elections, political party developments and related matters;
- ◆ Sending communications, including but not limited to, mailings, incidental to the circulation or support of, or opposition to any recall, initiative, or referendum petition;
- ◆ Sending of newsletters or bulletins by any elected public official, political party or candidate for public office;
- ◆ Conducting any survey of voters in connection with any election campaign;
- ◆ Conducting any survey of opinions of voters by any government agency, political party, elected official or political candidate for election or governmental purposes;
- ◆ Conducting an audit of voter registration lists for the purposes of detecting voter registration fraud;
- ◆ Soliciting contributions or services as part of any election campaign on behalf of any candidate for public office or any political party or in support of or opposition to any ballot measure;
- ◆ Any official use by any local, state, or federal governmental agency.

## Prohibited Usage

Prohibited usage includes:

- ◆ Any communication or other use solely or partially for any commercial purpose;
- ◆ Solicitation of contributions or services for any purpose other than on behalf of a candidate or political party or in support or opposition of a ballot measure;
- ◆ Conducting any survey of opinions of voters other than those permitted by California Administrative Code §19003.

California Elections Code §18109 states:

- (a) It is a misdemeanor for any person in possession of information obtained pursuant to Article 5 (commencing with Section 2180) of Chapter 2 of Division 2, or Section 6254.4 of the Government Code, knowingly to use or permit the use of all or any part of that information for any purpose other than as permitted by law.
- (b) It is a misdemeanor for any person knowingly to acquire possession or use of voter registration information referred to in subdivision (a) without first complying with Section 2188." (Added by Stats. 1994)

# Election Data For Sale From Santa Cruz County



**YOU WANT IT WHEN?** Orders placed by 10 a.m. may be picked up by 4 p.m. the following business day under normal conditions (excludes labels). If the job cannot be completed by 4 p.m. the following business day, customers will be notified.

**\$\$\$PAYMENT MUST BE MADE IN ADVANCE OF ANY PURCHASE.** Candidates may set up an account with the Elections Department by writing a check “Not to Exceed” a certain dollar amount. Purchases will then be subtracted from that amount until the cap is reached. At that time the check will be cashed. If total purchases are less than the amount of the original check, candidates will be asked to write a check for the specific amount due and the original check will be returned.

## Walking Lists

These lists are by consolidated voting precinct, in alphabetical order by street, and are available sometime after the 54th day prior to the election and after the 29th day prior to the election. (Elections Code §2184)

- Per thousand names..... 50¢

## Printed Voter Indexes by Street or Alpha

Special order computer jobs (other than the 54 or 29-day indexes) can be requested at any time. Indexes can be sorted by street or alphabetical by voter’s name.

- First 15,000 names ..... \$25
- Each additional 1,000 names..... \$1.50

## All Other Computer Generated Print Jobs

Any other request for a computer generated print-out, such as a list of streets by district costs:

- Per computer job..... \$25
- per page over 100 ..... 50¢

## Voter Lists on 3 ½” Floppy Disk

Voter lists, including names and addresses of voters and voter history, names of voters who have requested absentee ballots, are available on 3 ½” floppy disk.

- 1 disk..... \$40
- Each additional disk ..... \$25
- Absentee voter lists on disk for five consecutive days ..... \$175

## Voter Lists on CD

The voter lists described above may be purchased on CD.

- Under 50,000 records ..... \$50
- 50,000 to 100,000 records ..... \$75
- 100,000 records or more..... \$100

## Voter File Tapes

Voter information from our voter registration file or absentee database is also available on magnetic tape.

- 7 Per tape provided by Department ..... \$50.00
- 7 Per tape provided by the customer ..... \$40.00
- 7 (Plus postage and handling if applicable.)

## Mailing Labels of Voters or Households

Labels can be produced upon request for each voter or household within a district. Orders may select voters registered with one particular party. Labels cost \$50 for the first 1,000 voters and \$10 for each additional 1,000 voters. **IMPORTANT NOTE: LABELS ARE READY 48 HOURS AFTER THE REQUEST IS MADE.**

## List of Polling Places / List of Candidates on the Ballot

A list of the polling places in an election (ranges from 163 to 170 for countywide elections) and a list of candidates names and addresses is available for purchase.

- Each list ..... \$10

## Maps

The county Planning Department produces computer-generated maps. Maps are available for purchase or viewing in the Elections Department during regular office hours.

Individual precinct maps: These maps are 8 1/2" x 11" with one general registration precinct per page. Maps cost \$.50 each and 369 maps comprise Santa Cruz County.

- Each map ..... 50¢

Supervisory maps: 11" x 17" map of each supervisory district.

- Each map ..... \$3

Countywide and district maps: Various other wall-size maps of districts and the county are also available. Maps measure approximately 33" x 43" .

- Each map ..... \$13

## Daily Absentee Voter Printout

A list of who has requested, issued and then returned a vote-by-mail ballot may be purchased daily.

- First page ..... \$5
- Each additional page..... 50¢

**Photocopying**

Copies of candidate’s campaign reporting documents (Forms 410, 460, etc.) are available.

- Each page ..... 10¢

An additional \$5 retrieval fee is charged if the item requested is more than five (5) years old. (Gov. Code §81008)

Photocopying of all other items:

- Each of the first five (5) pages ..... 50¢
- Each additional page..... 10¢

**Secretary of State Voter File Tapes**

The Secretary of State has voter file tapes available containing names and addresses of registered voters for the State of California. Tapes are available for election purposes only. Contact the Secretary of State at (916) 657-2166 to determine cost and availability.

# Voter Registration and Vote-by-Mail Drives

**Voter Registration:** Candidates and/or committees wishing to distribute voter registration cards may obtain cards in English/Spanish from the Elections Department during regular office hours.

For any quantity of 50 or more, the individual requesting the cards must fill out an application and obtain a "Guide to Registering Voters" from elections staff in the Elections Department. For quantities of 2,000 or more, the distribution form is transmitted to the Secretary of State's Office. (California Administrative Code §20001g)

**Citizens or organizations which distribute voter registration cards shall give a voter registration card to any person requesting it.**

Completed cards must be returned to the Elections Department within 3 business days or by the close of registration. Failure to do so is a misdemeanor.

## \*\*\*\*\*Registering at 29 days vs. 15 days\*\*\*\*\*

A new law allows voters to register up until the 15<sup>th</sup> day before the election. Voters who submit their voter registration cards by the 29<sup>th</sup> day before the election, October 9 (postmark **NOT ACCEPTABLE**) will be added to the rolls, and the voters will receive a Sample Ballot booklet prepared by the county elections official.

Voters who submit cards after this date will **NOT** receive a Sample Ballot booklet, only a notice advising the late registrant that he/she will not receive a Sample Ballot and Voter's Information Pamphlet. §2102, 2107, 13303

The problem with this new law is that voter registration cards mailed 15 days prior to an election may not be received in time to send the new voter a notice or could be received after election day. **IN ORDER TO ENSURE VOTER'S ELIGIBILITY STATUS ON ELECTION DAY, ELECTIONS OFFICIALS URGE VOTERS TO REGISTER TO VOTE BY E-29.**

**Vote-by-Mail Ballots:** Any organization or citizen wishing to distribute vote-by-mail ballot applications may obtain camera-ready copy of a state-approved, uniform application and have the application printed in any quantity.

Camera-ready forms are available in English and Spanish. The Elections Department also provides a guide on how to duplicate the form. It is extremely important that candidates and/or committees adhere to the format and to include the committee's name, address and telephone number at the bottom of the vote-by-mail application. (Elections Code §3006 and 3007)

Any individual, organization, or group that distributes absentee voter applications shall return the forms to the appropriate elections official within 36 hours of receiving the completed form, or before the deadline for application, whichever is sooner. (Elections Code §3008)

**October 9, 2001** is the first day absent voter ballots may be issued for the November 6, 2001 election. Any application received prior to that date will be kept on file and processed during the application period.

A written request/application sent by mail for an absent voter ballot must be received by the Elections Department by 5 p.m. on October 30, 2001. Ballots are available at the Elections Department from November 1 to November 6 upon filing a Late Absentee Ballot application.

**Applications for absentee ballots received in the mail after October 30 will not be processed.** A letter of explanation will be sent to the applicant advising the voter that the application was received after the deadline.

# CAMPAIGN PRACTICES

## MASS MAILING

### Mass mailing; requirements (Gov. Code §84305)

Each candidate filing a Declaration of Candidacy is to be apprised of Government Code 84305, which is produced here for your information:

(a) Except as provided in subdivision (b), no candidate or committee shall send a mass mailing unless the name, street address, and city of the candidate or committee are shown on the outside of each piece of mail in the mass mailing and on at least one of the inserts included within each piece of mail of such mailing in no less than 6-point type which shall be in a color or print which contrasts with the background as to be easily legible. A post office box may be stated in lieu of a street address if the organization's address is a matter of public record with the Secretary of State.

(b) If the sender of the mass mailing is a single candidate or committee, the name, street address, and city of the candidate or committee need only be shown on the outside of each piece of mail.

(c) If the sender of a mass mailing is a controlled committee, the name of the person controlling the committee shall be included in addition to the information required by subdivision (a).

### Mass mailing definition (Gov. Code §82041.5)

"Mass Mailing" means over two hundred substantially similar pieces of mail, but does not include a form letter or other mail which is sent in response to an unsolicited request, letter or other inquiry.

### Mass mailing by incumbents (Gov. Code §89001)

No newsletter or other mass mailing shall be sent at public expense.

## SLATE MAILERS

### Slate mailer definition (Gov. Code §82048.3)

"Slate Mailer" means a mass mailing which supports or opposes a total of four or more candidates or ballot measures.

## **Slate mailer organization (Gov. Code §82048.4)**

(a) "Slate mailer organization" means, except as provided in subdivision (b), any person who, directly or indirectly, does all of the following:

(1) Is involved in the production of one or more slate mailers and exercises control over the selection of the candidates and measures to be supported or opposed in slate mailers.

(2) Receives or is promised payments totaling \$500 or more in a calendar year for the production of one or more slate mailers.

(b) Notwithstanding subdivision (a), a slate mailer organization shall not include any of the following:

(1) A candidate or officeholder or a candidate's or officeholder's controlled committee.

(2) An official committee of any political party.

(3) A legislative caucus committee.

(4) A committee primarily formed to support or oppose a candidate, officeholder, or ballot measure.

(c) The production and distribution of slate mailers by a slate mailer organization shall not be considered making contributions or expenditures for purposes of subdivision (b) or (c) of 82013. If a slate mailer organization makes contributions or expenditures other than by producing or distributing slate mailers, and it reports those contributions and expenditures pursuant to §84218 and 84219, no additional campaign reports shall be required of the slate mailer organization pursuant to §84200 or 84200.5.

## **Slate mailer requirements (Gov. Code §84305.5)**

(a) No slate mailer organization or committee primarily formed to support or oppose one or more ballot measures shall send a slate mailer unless:

(1) The name, street address, and city of the slate mailer organization or committee primarily formed to support or oppose one or more ballot measures are shown on the outside of each piece of slate mail and on at least one of the inserts included with each piece of slate mail in no less than 8-point Roman type which shall be in color or print which contrasts with the background so as to be easily legible. A post office box may be stated in lieu of a street address if the street address of the slate mailer organization or committee primarily formed to support or oppose one or more ballot measures is a matter of public record with the Secretary of State's Political Reform Division.

(2) At the top or bottom of the front side or surface of at least one insert or at the top or bottom of one side or surface of a postcard or other self-mailer, there is a notice in at least 8-point Roman, boldface type, which shall be in a color or print which contrasts with the background so as to be easily legible, and in a printed or drawn box and set apart from any other printed matter. The notice shall consist of the following statement:

## **NOTICE TO VOTERS**

This document was prepared by (insert name of slate mailer organization or committee primarily formed to support or oppose one or more ballot measures), not an official political party organization. Appearance in this mailer does not necessarily imply endorsement of, or opposition to, any issues set forth with this mailer. Appearance is paid for and authorized by each candidate and ballot measure which is designated by an \*.

(3) The name, street address, and city of the slate mailer organization or committee primarily formed to support or oppose one or more ballot measures as required by paragraph (1) and the notice required by paragraph (2) may appear on the same side or surface of an insert.

(4) Each candidate and each ballot measure that has paid to appear in the slate mailer is designated by \*. Any candidate or ballot measure that has not paid to appear in the slate mail is not designated by \*.

The \* required by this subdivision shall be of the same type size, type style, color or contrast, and legibility as is used for the name of the candidate or the ballot measure name or number and position advocated to which the \* designation applies except that in no case shall the \* be required to be larger than 10-point boldface type. The designation shall immediately follow the name of the candidate, or the name or number and position advocated on the ballot measure where the designation appears in the slate of candidates and measures. If there is no slate listing, the designation shall appear at least once in at least 8-point boldface type, immediately following the name of the candidate, or the name or number and position advocated on the ballot measure.

(5) The name of any candidate appearing in the slate mailer who is a member of a political party differing from the political party which the mailer appears by representation or indicia to represent is accompanied, immediately below the name, by the party designation of the candidate, in no less than 9-point Roman type which shall be a color or print that contrasts with the background so as to be easily legible. The designation shall not be required in the case of candidates for nonpartisan office.

(b) For purposes of the designations required by paragraph (4) of subdivision (a), the payment of any sum made reportable by subdivision (c) of §84219 by or at the behest of a candidate or committee, whose name or position appears in the mailer, to the slate mailer organization or committee primarily formed to support or oppose one or more ballot measures, shall constitute a payment to appear, requiring the \* designation. The payment shall also be deemed to constitute authorization to appear in the mailer.

(c) A slate mailer that complies with this section shall be deemed to satisfy the requirements of §20003 and 20004 of the Elections Code. [Sections were repealed in Ch. 1189, 1994)

(See the Information Manual issued by the Fair Political Practices Commission for campaign disclosure reporting requirements in connection with slate mailers.)

## **NOMINATIONS**

### **Fictitious name to nomination petition (Elections Code §18200)**

Every person who subscribes to any nomination petition a fictitious name, or who intentionally subscribes thereto the name of another, or who causes another to subscribe a fictitious name to a nomination petition, is guilty of a felony and is punishable by imprisonment in the state prison for 16 months or two or three years.

### **Defacing or destroying a nomination paper (Elections Code §18201)**

Any person who falsely makes or fraudulently defaces or destroys all or any part of a nomination paper, is punishable by a fine not exceeding \$1,000 or by imprisonment in the state prison for 16 months or two or three years or by both the fine and imprisonment.

### **Deliberate failure to file nomination paper (Elections Code §18202)**

Every person acting on behalf of a candidate is guilty of a misdemeanor who deliberately fails to file at the proper time and the proper place any nomination paper or declaration of candidacy in his or her possession that is entitled to be filed under the provisions of this code.

### **False declaration of candidacy (Elections Code §18203)**

Any person who files or submits for filing a nomination paper or declaration of candidacy knowing that it or any part of it has been made falsely is punishable by a fine not exceeding \$1,000 or by imprisonment in the state prison for 16 months or two or three years or by both such fine and imprisonment.

### **Suppression of nomination paper. (Elections Code §18204)**

Any person who willfully suppresses all or any part of a nomination paper or declaration of candidacy either before or after filing is punishable by a fine not exceeding \$1,000 or by imprisonment in the state prison for 16 months or two or three years or by both the fine and imprisonment.

### **Paying candidates to withdraw (Elections Code §18205)**

A person shall not directly or through any other person advance, pay, solicit, or receive or cause to be advanced, paid, solicited, or received any money or other valuable consideration to or for the use of any person in order to induce a person not to become or to withdraw as a candidate for public office. Violation of this section shall be punishable by imprisonment in the state prison for 16 months or two or three years.

## **CODE OF FAIR CAMPAIGN PRACTICES**

Chapter 855, Statutes of 1982, established a Code of Fair Campaign Practices which could be voluntarily subscribed to by candidates for public office.

The County Clerk is required to provide each individual who files nomination papers or other papers evidencing intentions to become a candidate for public office with a copy of the provisions of the Chapter and a form on which to subscribe to the code.

**Subscription to the code is voluntary.** Completed forms are to be filed with the County Clerk and shall be retained for public inspection until 30 days after the election. (Elections Code 20400 - 20444)

## **MISREPRESENTATION BY CANDIDATES**

### **Misleading of voters; incumbency; public officer (Elections Code §18350)**

Every person is guilty of a misdemeanor who, with intent to mislead the voters in connection with his or her campaign for nomination or election to a public office or in connection with the campaign or another person for nomination or election to a public office, shall do either of the following acts:

- (a) Assume, pretend, or imply, by his or her statements or conduct, that he or she is the incumbent of a public office when that is not the case.
- (b) Assume, pretend, or imply, by his or her statements or conduct, that he or she has been acting in the capacity of a public officer when that is not the case.

Any violation of this section may be enjoined in a civil action brought by any candidate for the public office involved.

### **False statements in candidate statement; fine (Elections Code §18351)**

Any candidate in an election or incumbent in a recall election who knowingly makes a false statement of a material fact in a candidate's statement prepared pursuant to §11327 or 13307, with the intent to mislead the voters in connection with his or her campaign for nomination or election to a nonpartisan office is punishable by a fine not to exceed \$1,000.

### **Representation requirements (Elections Code §20007)**

No candidate or committee in his or her behalf shall represent in connection with an election campaign either orally or in campaign material, that the candidate has the support of a committee or organization that includes as part of its name the name or any variation upon the name of a qualified political party with which the candidate is not affiliated, together with the words "county committee," "central committee," "county," or any other term that might tend to mislead the voters into believing that the candidate has the support of that party's county central committee or state central committee, when that is not the case.

This section shall not be construed to prevent a candidate or committee from representing that the candidate has the support of a committee or group of voters affiliated with another political party, which committee or group is identified by the name of that party, where the name of the committee or group also includes the name of the candidate.

Any member of a central committee or state central committee may commence an action in the superior court to enjoin misrepresentation by a candidate or committee in his or her behalf, in the manner prohibited by this section, to the effect that the candidate has the support of the state or county central committee involved.

## **POLITICAL ADVERTISING**

### **Political advertisement requirements (Elections Code §20008)**

Any paid political advertisement that refers to an election or to any candidate for state or

local elective office and that is contained in or distributed with a newspaper, shall bear on each surface or page thereof, in type or lettering at least half as large as the type of lettering of the advertisement or in 10-point Roman type, whichever is larger, the words "Paid Political Advertisement." Such words shall be set apart from any other printed matter.

As used in this section "paid political advertisement" shall mean and shall be limited to published statements paid for by advertisers for purposes of supporting or defeating any person who has filed for an elective state or local office.

### **False or forged campaign materials (Penal Code §115.2)**

No person shall publish or cause to be published, with actual knowledge, and intent to deceive, any campaign advertisement containing false or fraudulent depictions, or false or fraudulent representations, of official public documents or purported official public documents.

For purposes of this section, "campaign advertisement", means any communication directed to voters by means of a mass mailing as defined in §82041.5 of the Government Code, a paid newspaper advertisement, an outdoor advertisement, or any other printed matter, if the expenditures for that communication are required to be reported by Chapter 4 (commencing with §84100) of Title 9 of the Government Code.

Any violation of this section is a misdemeanor punishable by imprisonment in the county jail, or by a fine not to exceed \$50,000, or both.

## **SIMULATED BALLOTS**

### **Printing of Simulated Sample Ballots(Elections Code §18301)**

In addition to any other penalty, any person who prints or otherwise duplicates, or causes to be printed or duplicated, a simulated ballot or simulated sample ballot which does not contain the statement required by Section 20009 or which uses an official seal or insignia in violation thereof, is guilty of a misdemeanor.

### **Simulated ballot requirements (Elections Code §20009)**

(a) Every simulated ballot or simulated sample ballot shall bear on each surface or page thereof, in type or lettering at least half as large as the type or lettering of the statement or words or in 10-point Roman type, whichever is larger, in a printed or drawn box and set apart from any other printed matter, the following statement:

## NOTICE TO VOTERS

(Required by Law)

This is not an official ballot or an official sample ballot prepared by the county elections official or the Secretary of State. This is an unofficial, marked ballot prepared by (insert name and address of the person or organization responsible for preparation thereof).

Nothing in this section shall be construed to require any such notice in any editorial or other statement appearing in a regularly published newspaper or magazine other than a paid political advertisement.

(b) No simulated ballot or simulated sample ballot referred to in subdivision (a) shall bear any official seal or the insignia of any public entity, nor shall that seal or insignia appear upon the envelope in which it is mailed or otherwise delivered.

(c) The superior court, in any case brought before it by any registered voter, may issue a temporary or permanent restraining order or injunction against the publication, printing, circulation, posting, or distribution of any matter in violation of this section, and all cases of this nature shall be in a preferred position for purposes of trial and appeal, so as to assure the speedy disposition thereof.

## POLITICAL SIGNS

### Outdoor Political Advertising – State Law

Section 5405.3 of the State Outdoor Advertising Act (Business & Professions Code) authorizes the placing of “temporary political signs” separate and apart from the normal outdoor advertising controls. No political sign may be placed within the right-of-way of any highway or within 660 feet of the edge of and visible from the right-of-way of a landscaped freeway.

Temporary political signs are signs that meet the following criteria:

- 7 Encourages a particular vote in a scheduled election;
- 7 Is placed not sooner than 90 days prior to the scheduled election and is removed within 10 days after the election;
- 7 Is no larger than 32 square feet;
- 7 Has had a “Statement of Responsibility” filed with the State Department of Transportation, Division of Right of Way, Outdoor Advertising, 2208 N. Hayston, Fresno, CA 93703-2623, certifying a person who will be responsible for removing the signs. Forms are available at the Santa Cruz County Elections Department. Call (916) 654-4790 for more information.

The law directs the Department of Transportation to remove signs that do not comply with the regulations before an election and to bill the responsible party for removal costs after the election.

Penal Code Sections 556, 556.1 and 556.3 provide that it is a misdemeanor for any person to place a sign to advertise on public or private property (without consent); and that it shall be considered a public nuisance.

Pursuant to a County Counsel opinion dated April 18, 1994, utility poles are the property of

the private utility company and the posting of political signs on them may be prosecuted as a misdemeanor. Furthermore, the Public Utility Commission may impose a fine for such unauthorized posting.

## **Outdoor Political Advertising Santa Cruz County Code**

Santa Cruz County Code, Section 13.10.583 states that temporary or political signs shall not be placed so as to constitute a traffic hazard. On January 12, 1993, the Board of Supervisors approved the following Public Works criteria for removal of signs as they might effect roadside safety:

Signs may be removed by authorized Public Works staff if they:

1. Block the sight distance on county roads at intersections of county roads, private roads or driveways.
2. Restrict the use of the highway or otherwise clearly cause problems for the traveling public.
3. Obscure fire hydrants, traffic signs, traffic signals or other traffic control devices.
4. Are attached to or distract the attention of drivers from any traffic control device.
5. Interfere with normal maintenance efforts.
6. Are identified as other traffic hazards by the Director of Public Works consistent with the content of this policy.

Action to remove signs under categories 1 through 4 above, generally requires immediate attention. For those matters covered under categories 5 and 6, Public Works staff will make reasonable effort to contact the responsible party, to relocate or remove the signs within 24 hours.

Pursuant to Section 1480.5 of the Streets and Highways Code, the Director of Public Works may immediately remove an encroachment which constitutes a traffic hazard or an encroachment which is an advertising sign or device of any description. In keeping with the provisions of Section 1480.5(d) any such sign removed by the Public Works Department which is of more than nominal value shall be held at a County maintenance yard for a reasonable period of time to allow the owner of the sign to retrieve it. The return of the sign may be conditioned upon payment of an amount sufficient to reimburse the Public Works Department for the expense of removal.

## **Outdoor Political Advertising - City Ordinances**

Each city in Santa Cruz County has rules and regulations regarding outdoor political advertising. Contact the city clerk in Santa Cruz (420-5030), Capitola (475-7300), Scotts Valley (440-5602) or Watsonville (728-6005) for more information.

## **Removal of Political Signs**

If the political signs are not removed within 10 days after the election, an enforcement action could be pursued in accordance with the enforcement provisions of Chapter 13.10 of

the Santa Cruz County Code (County Counsel opinion dated April 18, 1994)

## **POLLING PLACE INFORMATION**

### **Campaign Literature Containing Polling Place (Elections Code §18302)**

Every person is guilty of a misdemeanor who knowingly causes to be mailed or distributed, or knowingly mails or distributes, literature to any voter that includes a designation of the voter's precinct polling place other than a precinct polling place listed for that voter in an official precinct polling list that constituted the latest official precinct polling list at sometime not more than 30 days prior to the mailing or distribution.

# CAMPAIGN FILING REQUIREMENTS

All candidates for office are required to file at least a Form 470 – Officeholder & Candidate Campaign Statement Short Form. This form is for officeholders & candidates who do not have a controlled committee and do not anticipate spending or receiving \$1,000 or more (including personal funds). The original and 1 copy must be filed with the Elections Department by the deadline for filing the first pre-election statement. See below.

If candidates plan on raising or spending more than \$1,000, please see the Santa Cruz County Elections Department for a complete set of required campaign filing forms and manuals to assist you.

## CAMPAIGN FILING SCHEDULE FOR NOVEMBER 6, 2001

| Filing Deadline  | Type of Statement  | Period Covered                          | Method of Delivery   |
|------------------|--|---|--|
| July 31, 2001    | Semi-Annual  | Date of last statement filed to 6/30/01 | 7 Personal Delivery<br>7 First Class Mail                                    |
| Sept. 27, 2001   | 1st Pre-Election   | Date of last statement filed to 9/22/01 | 7 Personal Delivery<br>7 First Class Mail                                    |
| October 25, 2001 | 2nd Pre-Election   | 9/23/01 to 10/20/01                     | 7 Personal Delivery<br>7 Guaranteed Overnight Service                        |
| 24 Hours         | Late Contributions* and Late Independent Expenditures of \$1,000 or more | 10/22/00 to 11/6/00                     | 7 Personal Delivery<br>7 Telegram<br>7 Guaranteed Overnight Service<br>7 FAX |
| January 31, 2002 | Semi-Annual  | 10/21/01 to 12/31/01                    | 7 Personal Delivery<br>7 First Class Mail                                    |

| Method of Delivery            | Considered Received   |
|-------------------------------|---|
| Personal Delivery             | On date delivered   |
| Guaranteed Overnight Delivery | On date delivery service receives materials   |
| First Class Mail              | On date of postmark. If not received, sender must possess post office receipt with date of deposit and filing officer's name and address. |

# STATEMENT OF ECONOMIC INTERESTS (FORM 700)

## Who Must File

State law (the Political Reform Act of 1974) requires candidates for school and special districts to disclose their interests in real property and income within the past 12 months in a Statement of Economic Interests (Form 700) to be filed within 30 days of assuming office and candidates appointed to an office file 10 days after assuming office. (Gov. Code §87200, et. seq.)

**EXCEPTION:** This statement is not required of a candidate who has filed a statement for the same jurisdiction as an officeholder within sixty (60) days prior to assuming office or filing the Declaration of Candidacy. (Gov. Code §87201, 87202) Persons elected to school and special districts who must file a Statement of Economic Interests within 30 days of assuming office will be required to file a second statement for their annual statement due on April 1, 2002.

## What Must be Disclosed

Under state law, persons filing economic interests statements as candidates are required to disclose investments and interests in real property and income within the past 12 months. (Gov. Code §87201, 87203)

## When and Where to File

Candidates who must file may obtain forms and instructions from the Santa Cruz County Elections Department. The Form 700 must be filed with the Elections Department within 30 days of assuming office. **If the statement is filed after the deadline, candidates may be penalized up to \$10 per day up to a maximum of \$100.** Late filing penalties can be reduced or waived under certain circumstances. (Gov. Code §87201, 87500)

## Statements Are a Public Record

Statements of Economic Interests are a public record. They may be inspected by anyone and copies may be purchased from the filing officer for 10 cents per page. (Gov. Code §81008)

# ELECTIONEERING ON ELECTION DAY

Pursuant to Elections Code Section 18370 no person on election day shall, within 100 feet of a polling place:

- 7 Circulate an initiative, referendum, recall or nomination petition or any other petition.
- 7 Solicit a vote or speak to a voter on the subject of marking his ballot.
- 7 Place a sign relating to voters' qualifications or speak to a voter on the subject of his/her qualifications except as provided in Section 14240.
- 7 Do any electioneering

As used in this section "100 feet from a polling place" shall mean a distance of 100 feet from the room or rooms in which voters are signing the roster and casting ballots.

Elections Code Section 18541 provides that any person who violates the above provisions is punishable by imprisonment in county jail for not more than 12 months or state prison. Any person who conspires to violate this section is guilty of a felony.

# ELECTION NIGHT RESULTS

## **WHERE:**

Ballots are counted at the Elections Department:

701 Ocean St., Room 210  
Santa Cruz, CA

## **CONTACTS:**

Richard W. Bedal, County Clerk  
Gail L. Pellerin, Elections Manager

## **WEBSITE:**

Please visit our web site at  
[www.votescount.com](http://www.votescount.com)  
for information on the November election.

## **VOTE-BY-MAIL RESULTS:**

Released soon after 8 p.m.

## **ELECTION RETURNS:**

Telephones will be staffed until all the ballots are counted election night for callers to phone in and obtain results.

**CALL 831-454-2060.**

Results will also be posted on our web site at [www.votescount.com](http://www.votescount.com)

Election results by contest are simple to provide over the phone. However, if you are interested in obtaining more specific voting result information, we encourage you to be present at the Elections Department.

## **SEMI-OFFICIAL RESULTS:**

Once the last ballot is counted, a Semi-Official Election Summary Report will be available from the Elections Department. It will also be posted on our web site.

## **FINAL RESULTS:**

The official canvass of ballots will begin no later than Thursday, November 9. It typically takes three to four weeks to complete the canvass. Please call to verify when the final count will occur.

# QUESTIONS FREQUENTLY ASKED BY CANDIDATES

Candidates running for public office must satisfy many requirements set forth in law and regulation. Over the years, certain aspects of the nomination process have been identified as areas where prospective candidates seem to encounter problems. In order to assist candidates in avoiding these "pitfalls" the following questions and answers have been prepared.

## **Is your office open during the lunch hour?**

Yes. Office hours are 8 a.m. to 5 p.m., Monday through Friday, excluding holidays, and we do remain open between the hours of noon to 1 p.m. On election day we are open from 6:00 a.m. until the last ballot is counted.

## **What if I change my mind about being a candidate after filing a Declaration of Candidacy?**

Candidates may withdraw their Declaration of Candidacy prior to the final date for filing. According to Elections Code §10510, No candidate shall withdraw his or her declaration of candidacy after 5 p.m. on the 88<sup>th</sup> day prior to the general election."

## **May a second party pick up my Declaration of Candidacy for me?**

All forms must be either picked up in person by the candidate or a letter of specific authorization, signed by the candidate, must be presented by the candidate's representative.

## **May a second party file my Declaration of Candidacy or mail them to you?**

Election law does not specifically prohibit another person filing a Declaration of Candidacy for a candidate. However, candidates are urged to file in person. The reasons are twofold:

The oath or affirmation must be administered by a member of the Elections Department or a notary. It is easier for a candidate to file the Declaration of Candidacy in person and have the oath administered at the time the candidate files; and

The signature of the candidate, as well as other data, is required on the Declaration of Candidacy. If through an oversight the candidate's papers are incomplete, the problem can be easily rectified when a candidate files in person.